

**PUBLIC LAW 84-99
REHABILITATION ASSISTANCE FOR NON-FEDERAL
FLOOD CONTROL PROJECTS**

**DEPARTMENT OF THE ARMY
CORPS OF ENGINEERS, ALBUQUERQUE DISTRICT READINESS AND CONTINGENCY
OPERATIONS
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PUBLIC LAW 84-99
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DEFINITIONS

Emergency. Is a sudden, generally unexpected event which does or could do harm to people, the environment, resources, property or institutions.

Flood. A flood is an inundation of land not normally covered by water and that is used or is usable by man. A flood has two essential characteristics: (1) The inundation is temporary; and
(2) The land is adjacent to or inundated by the overflow from a river, stream or lake.

Flood Control Project. A flood control project is any project which was designed and constructed to have appreciable and dependable affects in preventing damages from flood events. Levees are examples of flood control projects.

Level of Protection. The level of protection provided by a levee is a measurement of the magnitude of a flood for which the levee is designed to protect from. For example, if a levee has a 25-year level of protection it will not be overtopped by a flood which has a magnitude of one which has the probability of occurring only once every twenty-five years. (A twenty-five year flood has a 4% probability of occurring every year.)

Primary or Main Levee. A dike or embankment, generally constructed close to the banks of a stream, lake or other body of water intended to protect the landside from inundation or to confine the stream flow to its regular channel.

Public Law 84-99. Public Law 84-99 is the discretionary authority given to the Corps of Engineers by Congress to act and react to emergencies caused by floods, contaminated water sources, drought, or dam failures. This authority allows the Corps to repair and/or rehabilitate any qualified flood control project (Levee) whether it is federally constructed or privately owned.

Secondary Levee. A secondary levee is a levee constructed near, or tied into the main levee (riverward or landward) and which provides a lesser degree of flood protection than the main system.

What is the Purpose of this Publication?

The purpose of this publication is to explain to non-federal interests (local interests) the Corps of Engineers Emergency Rehabilitation Program for non-federal flood control projects under public law 84-99 (PL 84-99).

The Corps of Engineers has authority under PL 84-99 to supplement local efforts in the repair of flood control projects (i.e. Levees) which are damaged by a flood. To be eligible for rehabilitation assistance under PL 84-99 Projects constructed by non-federal interests must meet certain criteria and standards set forth by the Corps of Engineers. These criteria and standards are outlined and explained within this publication.

Since the majority of non-federal flood control projects in the Albuquerque District are levees, the term "Levees" will be used throughout this publication in lieu of "non-federal flood control projects."

What Criteria Must my Levee Meet in Order to be Eligible for the Corps of Engineers Rehabilitation Program?

Your levee must have a public sponsor as defined by your state laws. This sponsor will be the public representative for your levee and will represent you in all matters concerning your levee. Public sponsors must be one of the following:

- A legal subdivision of a state government or a state itself.
- A local unit of government.
- A state chartered organization such as a levee board.
- A qualified Indian tribe or tribal organization.

The function of your levee must be for the purpose of providing flood protection your levee is not eligible for the rehabilitation program if it was built for a non-flood related purpose such as channel alignment, recreation, fish and wildlife, land reclamation, drainage, or to protect against land erosion.

Your levee must provide complete flood protection. A levee system which provides complete flood protection is one that is technically sound (i.e. Levee is tied off to high ground, is geotechnically stable, etc.), well maintained, and provides reliable flood protection.

Your levee must protect against a minimum of a 10-year flood event for an urban area and a 5year flood for an agricultural area. For example, this means that your levee has a probability of being overtopped once in ten years, or a ten percent chance of overtopping each year.

Your levee must be a primary levee. Secondary levee's are not eligible for the rehabilitation program. Exceptions to this policy may be granted if the secondary levee was designed to protect human life or the levee is a major component of the primary levee system and is necessary to assure the flood control protection of the total system.

Your levee must be constructed in accordance with all applicable federal state and local permits, codes, ordinances, and their applicable laws in order to be eligible for the rehabilitation program. This includes flood plain management ordinances in counties where no flood insurance programs exist.

How do I apply for consideration to participate in the Corps of Engineers Rehabilitation Program?

To apply for eligibility under the Corps Rehabilitation Program you need to complete the form in Appendix A and mail it to the Corps office cited on the front page of this publication. The best time to apply is during non-flood periods, this allows the Corps time to determine the eligibility of your levee for inclusion into the program prior to your next flood season.

How is my eligibility for inclusion into the Corps of Engineers Rehabilitation Program determined?

Upon receiving the above-mentioned request, an Initial Eligibility Inspection will be made by the Corps to review your maintenance program and to perform a technical evaluation of your levee. This inspection will determine the adequacy of your levee to provide reliable flood protection.

The Initial Eligibility Inspection Form (Appendix C) has been developed to establish minimum performance levels for non-federal levees to be eligible for the Corps rehabilitation program. The following is a summary of the ratings:

Rehabilitation and Inspection Program.

Raying Guide

A - Acceptable	No work required. Continue Operation and Maintenance as usual.
M - Minimally Acceptable	A deficient condition exists which should be improved by the levee sponsor/owner.
U – Unacceptable	A condition exists which requires immediate corrective action to be taken by the sponsor/owner before the levee is eligible for inclusion for inclusion into the PL 84-99 Rehabilitation and Inspection Program.

After the initial inspection has been completed an inspection a letter will be sent to you identifying the results and transmitting a copy of the inspection report to the sponsor/owner.

This inspection report will cite your levee's eligibility to be included in the Corps program; and, if any, to correct deficiencies or upgrade your levee prior to inclusion into the rehabilitation program.

If there are deficiencies or if upgrading is required to meet Corps standards, you will be notified of what corrective action is required and given a specific time frame to complete the work. If you do not complete the required work within the given time frame you will ineligible for inclusion into the Rehabilitation and Inspection Program, PL 84-99. No further inspections of your levee will be made until the Albuquerque District Readiness and Contingency Operations office is notified in writing that the corrective actions have been completed.

If you do not agree with the results of the Corps evaluation of your levee, you may choose to provide your own detailed engineering study (Engineer Certified) for consideration.

Once I am in the Rehabilitation Program--How is my Levee's Eligibility Maintained?

Once in the program the project sponsor is required to ensure adequate maintenance and operation of your levee. Guides to assist in the maintenance and inspection of your levee are included in the Non-Federal Levee Owner's Manual located at this website.

The Corps will continue to inspect your levee on a regular basis (approximately every two years) in order to detect any changed conditions which could impact the integrity of your levee and to evaluate the maintenance program of the levee. The previously mentioned rating guide will be used to evaluate your levee during these inspections. The project sponsor will be invited to attend the periodic inspections and will be notified of the results of these inspections and advised of any corrective measures required.

What If There is a Problem with my Levee that I/Sponsor am Unsure of how to Correct?

The Corps of Engineers will provide technical assistance upon request. To request technical assistance have your project sponsor contact the Corps Office cited on the front page of this publication in writing.

What do I do when my Levee is Damaged by a Flood?

Your sponsor must submit a request for Corps assistance. A sample copy of the request form is located in Appendix B. This request must be submitted within 30 days after the flood event.

Upon receipt of this request, the Corps will inspect your project to determine the extent of the damage, the best repair alternative and whether or not the cost of repair is economically feasible.

The project sponsor will be notified verbally and in writing of the inspection results and the intent of the Corps to participate in the repair.

If the Corps does plan to provide rehabilitation assistance the project sponsor will be required to furnish formal written assurances of local cooperation to the Corps prior to the authorization of any repairs to your levee. The purpose of this agreement is to obtain an understanding between the Corps and the sponsor, concerning the responsibilities of each party. The items of local cooperation which are required are discussed in the following paragraphs.

Local Cooperation Agreement

Provide without cost to the United States, the necessary land, easements and rights-of-way including borrow and disposal areas (suitable to the Corps of Engineers) as necessary to perform the required construction and to secure all necessary permits prior to initiation of construction by the Corps of Engineers. Easements (which extend for the life of the project) will also be provided for future maintenance, operation, and inspection.

Hold and save the United States free from damages due to any authorized work, exclusive of damages due to the fault or negligence of the United States or its contractors.

Contribute 20 percent of the construction cost for rehabilitation repairs. Contributed costs may be in the form of cash (provided prior to the award of a federal contract for authorized work) or *in-kind* services such as labor and/or equipment, etc. or a combination of both cash and, *in-kind* services. The value of in-kind contributions will be determined by the District Engineer. Items of cooperation required by the Corps such as lands, easements and rights-of-way, are not considered to be contributed costs.

Maintain and operate, in a manner satisfactory to the Corps of Engineers, (1) all the repair or restoration work after completion and (2) all inter-related portions of the flood control works not requiring repair or restoration such as levees, berms, drainage structures, bank protection, etc.

Responsible for all relocations (i.e. Roadway, utilities, etc.) required to repair the levee.

Since I'm in the Corps Program can I be Certain of Receiving, Corps Assistance when my Levee is Damaged?

There *should be* no problem as long as your levee is in good condition at the time of the flood and the following stipulations are met:

- 1) The damage to your levee must have been by a flood; damages by other occurrences, natural or man-made, are not eligible for Corps assistance.
- 2) Damages to your levee must be greater than \$15,000 or they will be considered normal maintenance *and not* eligible for Corps assistance.
- 3) Any deficient or deferred maintenance existing when flood damages occur will be accomplished by or at the expense of the project sponsor prior to or concurrently with authorized rehabilitation work. When work by the Corps corrects deferred maintenance the estimated cost of this work will be added as contributed funds over and above the 20% outlined in the local cooperation agreement.

4) The benefits provided by your project must exceed the cost of the repairs.

What do I do if I have questions or need additional information on Corps Rehabilitation Program?

Please feel free to telephone or write the Corps Office identified below.

ADDRESS: Department of the Army
Corps of Engineers, Albuquerque District
Readiness and Contingency Operations Branch
4101 Jefferson Plaza, NE
Albuquerque, New Mexico 87109

TELEPHONE: (505) 342-3686

Appendix A Request for Application into the Rehabilitation Program

District Engineer
U.S. Army Corps of Engineers, Albuquerque
4101 Jefferson Plaza, NE, OD-E
Albuquerque, New Mexico 87109

Date of Request: _____

Dear Sir: The purpose of this letter is to request the Albuquerque District Corps of Engineers to consider the levee project described below for inclusion into the Corps Emergency Rehabilitation Program for non-Federal Flood Control Projects under Public Law 84-99.

Project Location

State: _____

Township: _____

County: _____

Range: _____

River or Stream: _____

Section: _____

The requirements of the program as outlined by the Omaha District Corps of Engineers are understood and a public entity has either been obtained or is being pursued to be the Project Sponsor for the levee project.

Sincerely,

Point of Contact:

Name: _____

Address: _____

Telephone: _____

(Owner(s) Name and Signature)

Appendix B Request for Rehabilitation Assistance

Department of the Army
Corps of Engineers, Albuquerque District
4101 Jefferson Plaza, NE, OD-E
Albuquerque, New Mexico 87109

Date of Request: _____

Project No: _____

Dear Sir:

The purpose of this letter is to request rehabilitation assistance from the Albuquerque District Corps of Engineers under Public Law 84-99 for the repair of the levee which was damaged by high waters during _____ (date). The location of the levee and a brief description of the damage is as follows:

River or Stream: _____

Description of Damage: _____

County: _____ State: _____

Bank (Rt,Lt,Both): _____

Township: _____ Range: _____ Section: _____

The purpose of the above mentioned levee is to prevent flooding of protected property. The levee is owned by:

Owner: _____ Point of Contact: _____

(Name) _____

(Address) _____

(Telephone) _____

if the above mentioned levee is eligible for PL 84-99 assistance, I further request that the Albuquerque District Corps of Engineers take all necessary steps to accomplish the appropriate repairs. It is agreed that the required items of local cooperation outlined below, will be provided by the Owner(s) should the levee be eligible for rehabilitation assistance under PL 84-99 and the repair work is accomplished by the Corps of Engineers.

1. I hereby certify that the right-of-way which is required for any authorized repair work is presently available, and this letter constitutes the permission of the Owner(s) for the Government and its agents to enter and use said right-of-way in undertaking authorized repair work. A local cooperation agreement must be signed prior to any repair work being completed.

2. I also certify that I am duly authorized to execute this request on behalf of the Owner(s).

Sincerely,

(Print Name) _____

(Title) _____

REQUIRED ITEMS OF LOCAL COOPERATION

- a. Provide without cost to the United States the necessary land easements and rights-of-way including borrow and disposal areas suitable to the United States as necessary to perform the required repair work; and secure all necessary permits and/or furnish evidence of such required permits prior to initiation of *construction* by the Corps of Engineers. Easements (which extend for the life of the project) will also be provided for future maintenance and inspection.
- b. Hold and save the United States free from damages due to the authorized work, exclusive of damages due to the fault or negligence of the United States or its contractor.
- C. Contribute 20 percent of the construction cost of the rehabilitation repairs. Contributed costs may be in the form of cash (provided prior to the award of a Federal contract for the authorized work) or in-kind services such as labor or equipment, etc. or a combination of both cash and in-kind services. The value of in-kind contributions will be determined by the District Engineer or his delegated representative. Items of cooperation required by the Corps, such as lands, easements, and rights-of-way, are not considered to be contributed costs.
- d. Maintain and operate in a manner satisfactory to the Albuquerque District Engineer, (1) all the repair or restoration work after completion and (2) all inter-related portions of the flood control project not requiring repair or restoration, such as levees, berms, drainage structures, bank protection, etc.
- e. Responsible for all relocations (i.e. roadway, utilities, etc.) required by an approved levee rehabilitation plan.



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Flood Damage Reduction System Inspection Report APPENDIX C

Name of System: _____

Public Sponsor(s): _____

Public Sponsor Representative: _____

Sponsor Phone: _____

Sponsor Email: _____

Corps of Engineers Inspector: _____ Date of Inspection: _____

Inspection Report Prepared By: _____ Date Report Prepared: _____

Internal Technical Review (for Periodic Inspections) By: N/A Date of ITR: _____

Final Approval By: _____ Date Approved: _____

Type of Inspection: ☐ Initial Eligibility Inspection
☐ Continuing Eligibility Inspection (Routine)
☐ Continuing Eligibility Inspection (Periodic)

Overall System Rating: ☐ Acceptable
☐ Minimally Acceptable
☐ Unacceptable

Contents of this Report: ☐ Instructions
☐ Initial Eligibility Inspection
☐ General Items for All Flood Control Works
☐ Dam/Levee Embankments
☐ Concrete Floodwalls
☐ Sheet Pile and Concrete I-walls
☐ Interior Drainage System
☐ Pump Stations
☐ FDR system Channels

This inspection rating represents the Corps evaluation of operations and maintenance of the flood damage reduction system and may be used in conjunction with other information for a levee certification determination for National Flood Insurance Program (NFIP) purposes if applicable. An Acceptable Corps inspection rating, alone, does not equate to a certifiable levee for the NFIP. It is recommended for levee systems currently accredited by the Federal Emergency Management Agency (FEMA) for NFIP purposes receiving a Corps Minimally Acceptable or Unacceptable rating be evaluated by the levee owner to determine the potential impacts to the certification for FEMA.



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Flood Damage Reduction System Public Sponsor Pre-Inspection Report

The following information is to be provided by the levee district sponsor prior to an inspection. This information will be used to help evaluate the organizational capability of the levee district to manage the levee system maintenance program.

1. Levee system and district:

2. Reporting period:

3. Summary of maintenance required by last inspection report:

4. Summary of maintenance performed this reporting period:

5. Summary of maintenance planned next reporting period:

6. Summary of changes to system since last inspection:

7. Problems/ issues requiring the assistance of the US Army Corps of Engineers:



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of Engineers

Flood Damage Reduction System
Inspection Report

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Public Sponsor Pre-Inspection Report

The following information is to be provided by the levee district sponsor prior to an inspection

8. Levee district organization: (elected or appointed levee district officials and key employees)

Name	Position	Mailing Address	Phone Number	Email Address

General Instructions for the Inspection of Flood Damage Reduction Systems

A. Purpose of USACE Inspections:	The primary purpose of these inspections is to prevent loss of life and catastrophic damages; preserve the value of Federal investments, and to encourage non-Federal sponsors to bear responsibility for their own protection. Inspections should assure that Flood Damage Reduction structures and facilities are continually maintained and operated as necessary to obtain the maximum benefits. Inspections are also conducted to determine eligibility for Rehabilitation Assistance under authority of PL 84-99 for Federal and non-Federal systems. (ER 1130-2-530, ER 500-1-1)		
B. Types of Inspections:	The Corps conducts several types of inspections of Flood Damage Reduction systems, as outlined below:		
	Initial Eligibility Inspections	Routine Inspections	Continuing Eligibility Inspections
	IEIs are conducted to determine whether a non-Federally constructed Flood Damage Reduction system meets the minimum criteria and standards set forth by the Corps for initial inclusion into the Rehabilitation and Inspection Program.	RIs are intended to verify proper maintenance, owner preparedness, and component operation.	Periodic Inspections PIs are intended to verify proper maintenance and component operation and to evaluate operational adequacy, structural stability, and safety of the system. Periodic Inspections evaluate the system's original design criteria vs. current design criteria to determine potential performance impacts, evaluate the current conditions, and compare the design loads and design analysis used against current design standards. This is to be done to identify components and features for the sponsor that need to be monitored more closely over time or corrected as needed. (Periodic Inspections are used as the basis of risk assessments.)
C. Inspection Boundaries:	Inspections should be conducted so as to rate Flood Damage Reduction "systems" as complete and independent units, regardless of relevant "project" or "segment" boundaries.		
	Project	System	Segment
	A flood damage reduction project is made up of one or more flood damage reduction systems which were under the same authorization.	A flood damage reduction system is made up of one or more flood damage reduction segments which collectively provide flood damage reduction to a defined area. Failure of one segment within a system constitutes failure of the entire system. Failure of one system does not affect another system.	A flood damage reduction segment is defined as a discrete portion of a flood damage reduction system that is operated and maintained by a single entity. A flood damage reduction segment can be made up of one or more features (levee, floodwall, pump stations, etc).
D. Land Use Definitions:	The following three definitions are intended for use in determining minimum required inspection intervals and initial requirements for inclusion into the Rehabilitation and Inspection Program. Inspections should be considered for all systems that would result in significant environmental or economic impact upon failure regardless of specific land use.		
	Agricultural	Rural	Urban
	Protected population in the range of zero to 5 households per square mile protected.	Protected population in the range of 6 to 20 households per square mile protected.	Greater than 20 households per square mile, major industrial areas with significant infrastructure investment. Some protected urban areas have no permanent population but may be industrial areas with high value infrastructure with no overnight population.
E. Use of the Inspection Report Template:	The report template is intended for use in all Army Corps of Engineers inspections of levee and floodwall systems and flood damage reduction channels. The section of the template labeled "Initial Eligibility" only needs to be completed during Initial Eligibility Inspections of Non-Federally constructed Flood Damage Reduction Systems. The section labeled "General Items" needs to be completed with every inspection, along with all other sections that correspond to features in the system. The section labeled "Public Sponsor Pre-Inspection Report" is intended for completion before the inspection, if possible.		

F. Individual Item / Component Ratings:		
Assessment of individual components rated during the inspection should be based on the criteria provided in the inspection report template, though inspectors may incorporate additional items into the report based on the characteristics of the system. The assessment of individual components should be based on the following definitions.		
Acceptable Item	Minimally Acceptable Item	Unacceptable Item
The inspected item is in satisfactory condition, with no deficiencies, and will function as intended during the next flood event.	The inspected item has one or more minor deficiencies that need to be corrected. The minor deficiency or deficiencies will not seriously impair the functioning of the item as intended during the next flood event.	The inspected item has one or more serious deficiencies that need to be corrected. The serious deficiency or deficiencies will seriously impair the functioning of the item as intended during the next flood event.
G. Overall System Ratings:		
Determination of the overall system rating is based on the definitions below. Note that an Unacceptable System Rating may be either based on an engineering determination that concluded that noted deficiencies would prevent the system from functioning as intended during the next flood event, or based on the sponsor's demonstrated lack of commitment or inability to correct serious deficiencies in a timely manner.		
Acceptable System	Minimally Acceptable System	Unacceptable System
All items or components are rated as Acceptable.	One or more items are rated as Minimally Acceptable or one or more items are rated as Unacceptable and an engineering determination concludes that the Unacceptable items would not prevent the system from performing as intended during the next flood event.	One or more items are rated as Unacceptable and would prevent the system from performing as intended, or a serious deficiency noted in past inspections (which had previously resulted in a minimally acceptable system rating) has not been corrected within the established timeframe, not to exceed two years.
H. Eligibility for PL84-99 Rehabilitation Assistance:		
Inspected systems that are not operated and maintained by the Federal government may be Active in the Corps' Rehabilitation and Inspection Program (RIP) and eligible for rehabilitation assistance from the Corps as defined below:		
If the Overall System Rating is Acceptable	If the Overall System Rating is Minimally Acceptable	If the Overall System Rating is Unacceptable
The system is active in the RIP and eligible for PL84-99 rehabilitation assistance.	The system is Active in the RIP during the time that it takes to make needed corrections. Active systems are eligible for rehabilitation assistance. However, if the sponsor does not present USACE with proof that serious deficiencies (which had previously resulted in a minimally acceptable system rating) were corrected within the established timeframe, then the system will become Inactive in the RIP.	The system is Inactive in the RIP, and the status will remain Inactive until the sponsor presents USACE with proof that all items rated Unacceptable have been corrected. Inactive systems are ineligible for rehabilitation assistance.
I. Reporting:		
After the inspection, the Corps is responsible for assembling an inspection report (or a summary report if it was a Periodic Inspection) including the following information:		
a.	All sections of the report template used during the inspection, including the cover and pre-inspection materials. (Supplemental data collected, and any sections of the template that weren't used during the inspection do not need to be included with the report.)	
b.	Photos of the general system condition and noted deficiencies.	
c.	A plan view drawing of the system, with stationing, to reference locations of items rated less than acceptable.	
d.	The relative importance of the identified maintenance issues should be specified in the transmittal letter.	
e.	If the Overall System Rating is Minimally Acceptable, the report needs to establish a timeframe for correction of serious deficiencies noted (not to exceed two years) and indicate that if these items are not corrected within the required timeframe, the system will be rated as Unacceptable and made Inactive in the Rehabilitation Inspection Program.	
J. Notification:		
Reports are to be disseminated as follows within 30 days of the inspection date.		
If the Overall System Rating is Acceptable	If the Overall System Rating is Minimally Acceptable	If the Overall System Rating is Unacceptable
Reports need to be provided to the local sponsor and the county emergency management agency.	Reports need to be provided to the local sponsor, state emergency management agency, county emergency management agency, and to the FEMA region.	Reports need to be provided to the local sponsor, state emergency management agency, county emergency management agency, FEMA region, and to the Congressional delegation within 30 days of the inspection.

Initial Eligibility

For use only during Initial Eligibility Inspections of Non-Federally Constructed Flood Damage Reduction Systems

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
1. Public Sponsor (A or U only)	A	The Public Sponsor is a legally constituted public body with full authority and capability to perform the terms of its agreement as the non-Federal partner of the Corps for a system, able to pay damages, if necessary, in the event of its failure to perform. The public sponsor may be a State, County, City, Town, Federally recognized Indian Tribe or tribal organization, Alaska Native Corporation, or any political subpart of a State or group of states that has the legal and financial authority and capability to provide the necessary cash contributions and the lands, easements, rights-of-way, relocations, borrow, and dredged or excavated materials disposal areas (LERRD's) necessary for the system, and who could legally hold and save the Federal government free from damages that could potentially arise during post-flood rehabilitations or other work on the system.	
	U	The system does not have a public sponsor as defined above.	
2. Flood Protection (A or U only)	A	The principal function of the system is to protect people or property from floods.	
	U	The system was built or is primarily used for channel alignment, navigation, recreation, fish and wildlife, land reclamation, drainage, to protect against land erosion or tidal inflows, or for some other non-flood related purpose.	
3. System Completion	A	System construction is fully completed.	
	U	The system is still under construction.	
4. Construction Compliance (A or U only)	A	Appropriate local, State, tribal, and/or Federal permits (right-of-way, easements, regulatory permits, etc.), or waivers thereof, have been obtained for FDR system construction and subsequent modifications. The system was constructed in accordance with all applicable Federal, state and local codes, ordinances, and applicable laws.	
	U	The appropriate permits (or waivers thereof) have not been obtained for the system, or the system was not constructed in accordance with applicable codes, ordinances, and laws.	
5. Primary Levee	A	In the case of a levee system, the levee is a primary levee or is a secondary levee which is designed to protect human life.	
	U	The levee is a secondary levee and was not designed to protect human life.	
	N/A	The FDR system is not a levee system.	
6. Minimum Elevation ¹ (A or U only)	A	<ul style="list-style-type: none"> • Urban Levees and Floodwalls- Minimum elevation corresponding to a flood level with 10% probability of occurring in a given year (10-year flood). • Agricultural Levees and Floodwalls- Minimum elevation corresponding to a flood level with 20% probability of occurring in a given year (5-year flood). • Flood Damage Reduction Channels- Minimum capacity is for a flood with a 10% probability of occurring in a given year (10-year flood). Improved channels must additionally provide drainage for at least 1.5 square miles of land and have a capacity of at least 800 cfs. (Interior drainage channels within the protected area of a levee system are not considered to be flood damage reduction channels under the RIP.) 	
	U	The FDR system does not meet requirements for minimum elevation, capacity, or drainage area.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

¹ Depending on available data and local Corps policy, the minimum elevation required may be calculated using traditional methods, with the addition of 1 foot of freeboard in agricultural areas and 2 feet of freeboard in urban areas, or using annual exceedance probability, which numerically accounts for the natural variation and uncertainty when estimating discharge-probability and stage-discharge functions so that additional requirements for elevation are based on the level of uncertainty in the data.



Initial Eligibility

For use only during Initial Eligibility Inspections of Non-Federally Constructed Flood Damage Reduction Systems

Rated Item	Rating	Rating Guidelines	Location/Remarks/Recommendations
7. Physical Location and Cross Section (A or U only)	A	The physical location, cross section, and other design elements of the FDR system are sufficient to provide reliable flood protection. The FDR system forms a properly closed system. See Table 5-4, EP 500-1-1.	
	U	The FDR system was not constructed in an appropriate location, does not have an appropriate cross section, is not a properly closed system, or has other shortcomings with design elements necessary for providing reliable flood damage reduction.	
8. Embankment Fill Material ¹	A	Embankment fill material is uniform and adequately compacted throughout the entire FDR system, and the type of embankment material is suitable to prevent slides and seepage problems.	
	U	Embankment fill material is not uniform, or there is no compaction and evidence indicates a need for compaction, or the type of embankment material is unsuitable and is likely to contribute to the development of slides or seepage problems.	
9. Foundations ¹	A	Foundation material and construction methods adequately address piping, sand boils, seepage, or settlements that would reduce the level of protection.	
	U	Foundation material and construction methods are such that excessive uncontrolled seepage, sand boils, and piping will occur. Performance history indicates significant uncontrolled seepage, sand boils or piping.	
10. Erosion Control	A	Erosion protection is capable of handling the designed flow velocity for the level of protection for the entire FDR system. The FDR system is protected against bank caving and slides in all necessary areas, and has adequate drainage to protect FDR system slopes from runoff erosion.	
	U	Erosion protection is not present and there is evidence indicating a need for erosion protection.	
11. Interior Drainage System ² (including culverts, gates, pump stations)	A	Given the level of protection provided by the FDR system, interior drainage structures are appropriately sized, situated, and constructed to move anticipated runoff and seepage out of the protected area. Pump stations will not become inundated during regular operation and their power system is adequately designed and reliable.	
	U	Interior drainage structures are undersized, poorly constructed, poorly situated, or unreliably designed.	
	N/A	The issue of interior drainage does not apply to this type of FDR system.	
12. Structures ²	A	Structures are designed and constructed to withstand anticipated loadings.	
	U	Structures are unreliably designed or inadequately constructed.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

¹ This item should be evaluated based on a review of performance history. If this is not available, some form of engineering assessment is required.

² Documentation (plans, at a minimum) required for any necessary engineering evaluation is to be provided by the public sponsor.



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General Items for All Flood Damage Reduction Systems				
For use during all inspections of all Flood Damage Reduction Systems				
Rated Item	Rating	Rating Guidelines		Location/ Remarks/ Recommendations
1. Operations and Maintenance Manuals		A	Levee Owner's Manual, O&M Manuals, and/or manufacturer's operating instructions are present.	
		M	Sponsor manuals are lost or missing or out of date; however, sponsor will obtain manuals prior to next scheduled inspection.	
		U	Sponsor has not obtained lost or missing manuals identified during previous inspection.	
2. Emergency Supplies and Equipment (A or M only)		A	The sponsor maintains a stockpile of sandbags, shovels, and other flood fight supplies which will adequately supply all needs for the initial days of a flood fight. Sponsor determines required quantity of supplies after consulting with inspector.	
		M	The sponsor does not maintain an adequate supply of flood fighting materials as part of their preparedness activities.	
3. Flood Preparedness and Training (A or M only)		A	Sponsor has a written system-specific flood response plan and a solid understanding of how to operate, maintain, and staff the FDR system during a flood. Sponsor maintains a list of emergency contact information for appropriate personnel and other emergency response agencies.	
		M	The sponsor maintains a good working knowledge of flood response activities, but documentation of system-specific emergency procedures and emergency contact personnel is insufficient or out of date.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

Dam/Levee Embankments

For use during Initial and Continuing Eligibility Inspections of levee systems

Rated Item	Rating	Rating Guidelines	Location/Remarks/Recommendations
1. Unwanted Vegetation Growth ¹	A	The levee has little or no unwanted vegetation (trees, bush, or undesirable weeds), except for vegetation that is properly contained and/or situated on overbuilt sections, such that the mandatory 3-foot root-free zone is preserved around the levee profile. The levee has been recently mowed. The vegetation-free zone extends 15 feet from both the landside and riverside toes of the levee to the centerline of the tree. If the levee access easement doesn't extend to the described limits, then the vegetation-free zone must be maintained to the easement limits. Reference EM 1110-2-301 or Corps policy for regional vegetation variance.	
	M	Minimal vegetation growth (brush, weeds, or trees 2 inches in diameter or smaller) is present within the zones described above. This vegetation must be removed but does not currently threaten the operation or integrity of the levee.	
	U	Significant vegetation growth (brush, weeds, or any trees greater than 2 inches in diameter) is present within the zones described above and must be removed to reestablish or ascertain levee integrity.	
2. Sod Cover	A	There is good coverage of sod over the levee.	
	M	Approximately 25% of the sod cover is missing or damaged over a significant portion or over significant portions of the levee embankment. This may be the result of over-grazing or feeding on the levee, unauthorized vehicular traffic, chemical or insect problems, or burning during inappropriate seasons.	
	U	Over 50% of the sod cover is missing or damaged over a significant portion or portions of the levee embankment.	
3. Encroachments	N/A	Surface protection is provided by other means.	
	A	No trash, debris, unauthorized farming activity, structures, excavations, or other obstructions present within the easement area. Encroachments have been previously reviewed by the Corps, and it was determined that they do not diminish proper functioning of the levee.	
	M	Trash, debris, unauthorized farming activity, structures, excavations, or other obstructions present, or inappropriate activities noted that should be corrected but will not inhibit operations and maintenance or emergency operations. Encroachments have not been reviewed by the Corps.	
4. Closure Structures (Stop Log, Earthen Closures, Gates, or Sandbag Closures) (A or U only)	U	Unauthorized encroachments or inappropriate activities noted are likely to inhibit operations and maintenance, emergency operations, or negatively impact the integrity of the levee.	
	A	Closure structure in good repair. Placing equipment, stoplogs, and other materials are readily available at all times. Components are clearly marked and installation instructions/ procedures readily available. Trial erections have been accomplished in accordance with the O&M Manual.	
	U	Any of the following issues is cause for this rating: Closure structure in poor condition. Parts missing or corroded. Placing equipment may not be available within the anticipated warning time. The storage vaults cannot be opened during the time of inspection. Components of closure are not clearly marked and installation instructions/ procedures are not readily available. Trial erections have not been accomplished in accordance with the O&M Manual.	
	N/A	There are no closure structures along this component of the FDR system.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

¹ If there is significant growth on the levee that inhibits the inspection of animal burrows or other items, the inspection should be ended until this item is corrected.

Dam/Levee Embankments

For use during Initial and Continuing Eligibility Inspections of levee systems

Rated Item	Rating	Rating Guidelines	Location/Remarks/Recommendations
5. Slope Stability	A	No slides, sloughs, tension cracking, slope depressions, or bulges are present.	
	M	Minor slope stability problems that do not pose an immediate threat to the dam/levee embankment.	
	U	Major slope stability problems (ex. deep seated sliding) identified that must be repaired to reestablish the integrity of the dam/levee embankment.	
6. Erosion/ Bank Caving	A	No erosion or bank caving is observed on the landward or riverward sides of the dam/levee that might endanger its stability.	
	M	There are areas where minor erosion is occurring or has occurred on or near the dam/levee embankment, but levee integrity is not threatened.	
	U	Erosion or caving is occurring or has occurred that threatens the stability and integrity of the dam/levee. The erosion or caving has progressed into the levee section or into the extended footprint of the dam/levee foundation and has compromised the dam/levee foundation stability.	
7. Settlement ¹	A	No observed depressions in crown. Records exist and indicate no unexplained historical changes.	
	M	Minor irregularities that do not threaten integrity of dam/levee. Records are incomplete or inclusive.	
	U	Obvious variations in elevation over significant reaches. No records exist or records indicate that design elevation is compromised.	
8. Depressions/ Rutting	A	There are scattered, shallow ruts, pot holes, or other depressions on the dam/levee that are unrelated to levee settlement. The dam/levee crown, embankments, and access road crowns are well established and drain properly without any ponded water.	
	M	There are some infrequent minor depressions less than 6 inches deep in the dam/levee crown, embankment, or access roads that will pond water.	
	U	There are depressions greater than 6 inches deep that will pond water.	
9. Cracking	A	Minor longitudinal, transverse, or desiccation cracks with no vertical movement along the crack. No cracks extend continuously through the dam/levee crest.	
	M	Longitudinal and/or transverse cracks up to 6 inches in depth with no vertical movement along the crack. No cracks extend continuously through the dam/levee crest. Longitudinal cracks are no longer than the height of the dam/levee.	
	U	Cracks exceed 6 inches in depth. Longitudinal cracks are longer than the height of the dam/levee and/or exhibit vertical movement along the crack. Transverse cracks extend through the entire dam/levee width.	
10. Animal Control	A	Continuous animal burrow control program in place that includes the elimination of active burrowing and the filling in of existing burrows.	
	M	The existing animal burrow control program needs to be improved. Several burrows are present which may lead to seepage or slope stability problems, and they require immediate attention.	
	U	Animal burrow control program is not effective or is nonexistent. Significant maintenance is required to fill existing burrows, and the levee will not provide reliable flood protection until this maintenance is complete.	

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¹ Detailed survey elevations are normally required during Periodic Inspections, and whenever there are obvious visual settlements.



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Dam/Levee Embankments

For use during Initial and Continuing Eligibility Inspections of levee systems

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
11. Culverts/ Discharge Pipes ¹ (This item includes both concrete and corrugated metal pipes.)	A	There are no breaks, holes, cracks in the discharge pipes/ culverts that would result in significant water leakage. The pipe shape is still essentially circular. All joints appear to be closed and the soil tight. Corrugated metal pipes, if present, are in good condition with 100% of the original coating still in place (either asphalt or galvanizing) or have been relined with appropriate material, which is still in good condition. Condition of pipes has been verified using television camera video taping or visual inspection methods within the past five years, and the report for every pipe is available for review by the inspector.	
	M	There are a small number of corrosion pinholes or cracks that could leak water and need to be repaired, but the entire length of pipe is still structurally sound and is not in danger of collapsing. Pipe shape may be ovalized in some locations but does not appear to be approaching a curvature reversal. A limited number of joints may have opened and soil loss may be beginning. Any open joints should be repaired prior to the next inspection. Corrugated metal pipes, if present, may be showing corrosion and pinholes but there are no areas with total section loss. Condition of pipes has been verified using television camera video taping or visual inspection methods within the past five years, and the report for every pipe is available for review by the inspector.	
	U	Culvert has deterioration and/or has significant leakage; it is in danger of collapsing or as already begun to collapse. Corrugated metal pipes have suffered 100% section loss in the invert. HOWEVER: Even if pipes appear to be in good condition, as judged by an external visual inspection, an Unacceptable Rating will be assigned if the condition of pipes has not been verified using television camera video taping or visual inspection methods within the past five years, and reports for all pipes are not available for review by the inspector.	
	N/A	There are no discharge pipes/ culverts.	

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¹ The decision on whether or not USACE inspectors should enter a pipe to perform a detailed inspection must be made at the USACE District level. This decision should be made in conjunction with the District Safety Office, as pipes may be considered confined spaces. This decision should consider the age of the pipe, the diameter of the pipe, the apparent condition of the pipe, and the length of the pipe. If a pipe is entered for the purposes of inspection, the inspector should record observations with a video camera in order that the condition of the entire pipe, including all joints, can later be assessed. Additionally, the video record provides a baseline to which future inspections can be compared.



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Dam/Levee Embankments			
For use during Initial and Continuing Eligibility Inspections of levee systems			
Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
12. Riprap Revetments & Bank Protection	A	No riprap displacement or stone degradation that could pose an immediate threat to the integrity of channel bank. Riprap intact with no woody vegetation present.	
	M	Minor riprap displacement or stone degradation that could pose an immediate threat to the integrity of the channel bank. Unwanted vegetation must be cleared or sprayed with an appropriate herbicide.	
	U	Significant riprap displacement, exposure of bedding, or stone degradation observed. Scour activity is undercutting banks, eroding embankments, or impairing channel flows by causing turbulence or shoaling. Rock protection is hidden by dense brush, trees, or grasses.	
	N/A	There is no riprap protecting this feature of the system, or riprap is discussed in another section.	
13. Revetments other than Riprap	A	Existing revetment protection is properly maintained, undamaged, and clearly visible.	
	M	Minor revetment displacement or deterioration that does not pose an immediate threat to the integrity of the levee. Unwanted vegetation must be cleared or sprayed with an appropriate herbicide.	
	U	Significant revetment displacement, deterioration, or exposure of bedding observed. Scour activity is undercutting banks, eroding embankments, or impairing channel flows by causing turbulence or shoaling. Revetment protection is hidden by dense brush and trees.	
	N/A	There are no such revetments protecting this feature of the system.	
14. Underseepage Relief Wells/ Toe Drainage Systems	A	Toe drainage systems and pressure relief wells necessary for maintaining FDR system stability during high water functioned properly during the last flood event and no sediment is observed in horizontal system (if applicable). Nothing is observed which would indicate that the drainage systems won't function properly during the next flood, and maintenance records indicate regular cleaning. Wells have been pumped tested within the past 5 years and documentation is provided.	
	M	Toe drainage systems or pressure relief wells are damaged and may become clogged if they are not repaired. Maintenance records are incomplete or indicate irregular cleaning and pump testing.	
	U	Toe drainage systems or pressure relief wells necessary for maintaining FDR system stability during flood events have fallen into disrepair or have become clogged. No maintenance records. No documentation of the required pump testing.	
	N/A	There are no relief wells/ toe drainage systems along this component of the FDR system.	
15. Seepage	A	No evidence or history of unrepaired seepage, saturated areas, or boils.	
	M	Evidence or history of minor unrepaired seepage or small saturated areas at or beyond the landside toe but not on the landward slope of levee. No evidence of soil transport.	
	U	Evidence or history of active seepage, extensive saturated areas, or boils.	
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Floodwalls

For use during Initial and Continuing Eligibility Inspections of all floodwalls

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
1. Unwanted Vegetation Growth ¹	A	A grass-only or paved zone is maintained on both sides of the floodwall, free of all trees, brush, and undesirable weeds. The vegetation-free zone extends 15 feet from both the land and riverside of the floodwall, at ground-level, to the centerline of the tree. Additionally, an 8-foot root-free zone is maintained around the entire structure, including the floodwall toe, heel, and any toe-drains. If the floodwall access easement doesn't extend to the described limits, then the vegetation-free zone must be maintained to the easement limits. Reference EM 1110-2-301 and/or Corps policy for regional vegetation variance.	
	M	Minimal vegetation growth (brush, weeds, or trees 2 inches in diameter or smaller) is present within the zones described above. This vegetation must be removed but does not currently threaten the operation or integrity of the floodwall.	
	U	Significant vegetation growth (brush, weeds, or any trees greater than 2 inches in diameter) is present within the zones described above. This vegetation threatens the operation or integrity of the floodwall and must be removed.	
2. Encroachments	A	No trash, debris, unauthorized structures, excavations, or other obstructions present within the easement area. Encroachments have been previously reviewed by the Corps, and it was determined that they do not diminish proper functioning of the floodwall.	
	M	Trash, debris, unauthorized structures, excavations, or other obstructions present, or inappropriate activities noted that should be corrected but will not inhibit operations and maintenance or emergency operations. Encroachments have not been reviewed by the Corps.	
	U	Unauthorized encroachments or inappropriate activities noted are likely to inhibit operations and maintenance, emergency operations, or negatively impact the integrity of the floodwall.	
3. Closure Structures (Stop Log Closures and Gates) (A or U only)	A	Closure structure in good repair. Placing equipment, stoplogs, and other materials are readily available at all times. Components are clearly marked and installation instructions/ procedures readily available. Trial erections have been accomplished in accordance with the O&M Manual.	
	U	Any of the following issues is cause for this rating: Closure structure in poor condition. Parts missing or corroded. Placing equipment may not be available within the anticipated warning time. The storage vaults cannot be opened during the time of inspection. Components of closure are not clearly marked and installation instructions/ procedures are not readily available. Trial erections have not been accomplished in accordance with the O&M Manual.	
	N/A	There are no closure structures along this component of the FDR system.	

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¹ Inspectors must have as-built drawings available during the inspection so that the lateral distance to the heel and toe of the floodwalls can be determined in the field.



Floodwalls

For use during Initial and Continuing Eligibility Inspections of all floodwalls

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
4. Concrete Surfaces	A	Negligible spalling, scaling or cracking. If the concrete surface is weathered or holds moisture, it is still satisfactory but should be seal coated to prevent freeze/ thaw damage.	
	M	Spalling, scaling, and open cracking present, but the immediate integrity or performance of the structure is not threatened. Reinforcing steel may be exposed. Repairs/ scaling is necessary to prevent additional damage during periods of thawing and freezing.	
	U	Surface deterioration or deep cracks present that may result in an unreliable structure. Any surface deterioration that exposes the sheet piling or lies adjacent to monolith joints may indicate underlying reinforcement corrosion and is unacceptable.	
5. Tilting, Sliding or Settlement of Concrete Structures ¹	A	There are no significant areas of tilting, sliding, or settlement that would endanger the integrity of the structure.	
	M	There are areas of tilting, sliding, or settlement (either active or inactive) that need to be repaired. The maximum offset, either laterally or vertically, does not exceed 2 inches unless the movement can be shown to be no longer actively occurring. The integrity of the structure is not in danger.	
	U	There are areas of tilting, sliding, or settlement (either active or inactive) that threaten the structure's integrity and performance. Any movement that has resulted in failure of the waterstop (possibly identified by daylight visible through the joint) is unacceptable. Differential movement of greater than 2 inches between any two adjacent monoliths, either laterally or vertically, is unacceptable unless it can be shown that the movement is no longer active. Also, if the floodwall is of I-wall construction, then any visible or measurable tilting of the wall toward the protected side that has created an open horizontal crack on the riverside base of a monolith is unacceptable.	
6. Foundation of Concrete Structures ²	A	No active erosion, scouring, or bank caving that might endanger the structure's stability.	
	M	There are areas where the ground is eroding towards the base of the structure. Efforts need to be taken to slow and repair this erosion, but it is not judged to be close enough to the structure or to be progressing rapidly enough to affect structural stability before the next inspection. For the purposes of inspection, the erosion or scour is not closer to the riverside face of the wall than twice the floodwall's underground base width if the wall is of L-wall or T-wall construction; or if the wall is of sheetpile or I-wall construction, the erosion is not closer than twice the wall's visible height. Additionally, rate of erosion is such that the wall is expected to remain stable until the next inspection.	
	U	Erosion or bank caving observed that is closer to the wall than the limits described above, or is outside these limits but may lead to structural instabilities before the next inspection. Additionally, if the floodwall is of I-wall or sheetpile construction, the foundation is unacceptable if any turf, soil or pavement material got washed away from the landside of the I-wall as the result of a previous overtopping event.	

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¹ The sponsor should be monitoring any observed movement to verify whether the movement is active or inactive.

² Inspectors must have as-built drawings available during the inspection so that the lateral distance to the heel and toe of the floodwalls can be determined in the field.

Floodwalls

For use during Initial and Continuing Eligibility Inspections of all floodwalls

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
7. Monolith Joints		A The joint material is in good condition. The exterior joint sealant is intact and cracking/ desiccation is minimal. Joint filler material and/or waterstop is not visible at any point.	
		M The joint material has appreciable deterioration to the point where joint filler material and/or waterstop is visible in some locations. This needs to be repaired or replaced to prevent spalling and cracking during freeze/ thaw cycles, and to ensure water tightness of the joint.	
		U The joint material is severely deteriorated or the concrete adjacent to the monolith joints has spalled and cracked, damaging the waterstop; in either case damage has occurred to the point where it is apparent that the joint is no longer watertight and will not provide the intended level of protection during a flood.	
		N/A There are no monolith joints in the floodwall.	
8. Underseepage Relief Wells/ Toe Drainage Systems		A Toe drainage systems and pressure relief wells necessary for maintaining FDR system stability during high water functioned properly during the last flood event and no sediment is observed in horizontal system (if applicable). Nothing is observed which would indicate that the drainage systems won't function properly during the next flood, and maintenance records indicate regular cleaning. Wells have been pumped tested within the past 5 years and documentation is provided.	
		M Toe drainage systems or pressure relief wells are damaged and may become clogged if they are not repaired. Maintenance records are incomplete or indicate irregular cleaning and pump testing.	
		U Toe drainage systems or pressure relief wells necessary for maintaining FDR system stability during flood events have fallen into disrepair or have become clogged. No maintenance records. No documentation of the required pump testing.	
		N/A There are no relief wells/ toe drainage systems along this component of the FDR system.	
9. Seepage		A No evidence or history of unrepaired seepage, saturated areas, or boils.	
		M Evidence or history of minor unrepaired seepage or small saturated areas at or beyond the landside toe but not on the landward slope of levee. No evidence of soil transport.	
		U Evidence or history of active seepage, extensive saturated areas, or boils.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

Interior Drainage System

For use during Initial and Continuing Eligibility Inspections of interior drainage systems

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
1. Vegetation and Obstructions	A	No obstructions, vegetation, debris, or sediment accumulation noted within interior drainage channels or blocking the culverts, inlets, or discharge areas. Concrete joints and weep holes are free of grass and weeds.	
	M	Obstructions, vegetation, debris, or sediment are minor and have not impaired channel flow capacity or blocked more than 10% of any culvert openings, but should be removed. A limited volume of grass and weeds may be present in concrete channel joints and weep holes.	
	U	Obstructions, vegetation, debris, or sediment have impaired the channel flow capacity or blocked more than 10% of a culvert opening. Sediment and debris removal required to re-establish flow capacity.	
2. Encroachments	A	No trash, debris, unauthorized structures, excavations, or other obstructions present within the easement area. Encroachments have been previously reviewed by the Corps, and it was determined that they do not diminish proper functioning of the interior drainage system.	
	M	Trash, debris, unauthorized structures, excavations, or other obstructions present, or inappropriate activities noted that should be corrected but will not inhibit operations and maintenance or emergency operations. Encroachments have not been reviewed by the Corps.	
	U	Unauthorized encroachments or inappropriate activities noted are likely to inhibit operations and maintenance, emergency operations, or negatively impact the integrity of this component of the interior drainage system.	
3. Ponding Areas	A	No trash, debris, structures, or other obstructions present within the ponding areas. Sediment deposits do not exceed 10% of capacity.	
	M	Trash, debris, excavations, structures, or other obstructions present, or inappropriate activities that will not inhibit operations and maintenance. Sediment deposits do not exceed 30% of capacity.	
	U	Trash, debris, excavations, structures, or other obstructions, or other encroachments or activities noted that will inhibit operations, maintenance, or emergency work. Sediment deposits exceeds 30% of capacity.	
	N/A	There are no ponding areas associated with the interior drainage system.	
4. Fencing and Gates ¹	A	Fencing is in good condition and provides protection against falling or unauthorized access. Gates open and close freely, locks are in place, and there is little corrosion on metal parts.	
	M	Fencing or gates are damaged or corroded but appear to be maintainable. Locks may be missing or damaged.	
	U	Fencing and gates are damaged or corroded to the point that replacement is required, or potentially dangerous features are not secured.	
	N/A	There are no features noted that require safety fencing.	

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¹ Proper operation of this item must be demonstrated during the inspection.

Interior Drainage System

For use during Initial and Continuing Eligibility Inspections of interior drainage systems

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
5. Concrete Surfaces (Such as gate wells, outfalls, intakes, or culverts)	A	Negligible spalling, scaling or cracking. If the concrete surface is weathered or holds moisture, it is still satisfactory but should be seal coated to prevent freeze/ thaw damage.	
	M	Spalling, scaling, and open cracking present, but the immediate integrity or performance of the structure is not threatened. Reinforcing steel may be exposed. Repairs/ sealing is necessary to prevent additional damage during periods of thawing and freezing.	
	U	Surface deterioration or deep cracks present that may result in an unreliable structure. Any surface deterioration that exposes the sheet piling or lies adjacent to monolith joints may indicate underlying reinforcement corrosion and is unacceptable.	
	N/A	There are no concrete items in the interior drainage system.	
6. Tilting, Sliding or Settlement of Concrete and Sheet Pile Structures ¹ (Such as gate wells, outfalls, intakes, or culverts)	A	There are no significant areas of tilting, sliding, or settlement that would endanger the integrity of the structure.	
	M	There are areas of tilting, sliding, or settlement (either active or inactive) that need to be repaired. The maximum offset, either laterally or vertically, does not exceed 2 inches unless the movement can be shown to be no longer actively occurring. The integrity of the structure is not in danger.	
	U	There are areas of tilting, sliding, or settlement (either active or inactive) that threaten the structure's integrity and performance. Any movement that has resulted in failure of the waterstop (possibly identified by daylight visible through the joint) is unacceptable. Differential movement of greater than 2 inches between any two adjacent monoliths, either laterally or vertically, is unacceptable unless it can be shown that the movement is no longer active. Also, if the floodwall is of I-wall construction, then any visible or measurable tilting of the wall toward the protected side that has created an open horizontal crack on the riverside base of a monolith is unacceptable.	
	N/A	There are no concrete items in the interior drainage system.	
7. Foundation of Concrete Structures ² (Such as culverts, inlet and discharge structures, or gatewells.)	A	No active erosion, scouring, or bank caving that might endanger the structure's stability.	
	M	There are areas where the ground is eroding towards the base of the structure. Efforts need to be taken to slow and repair this erosion, but it is not judged to be close enough to the structure or to be progressing rapidly enough to affect structural stability before the next inspection. The rate of erosion is such that the structure is expected to remain stable until the next inspection.	
	U	Erosion or bank caving observed that may lead to structural instabilities before the next inspection.	
	N/A	There are no concrete items in the interior drainage system.	

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¹ The sponsor should be monitoring any observed movement to verify whether the movement is active or inactive.

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Interior Drainage System

For use during Initial and Continuing Eligibility Inspections of interior drainage systems

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
8. Monolith Joints	A	The joint material is in good condition. The exterior joint sealant is intact and cracking/ desiccation is minimal. Joint filler material and/or waterstop is not visible at any point.	
	M	The joint material has appreciable deterioration to the point where joint filler material and/or waterstop is visible in some locations. This needs to be repaired or replaced to prevent spalling and cracking during freeze/ thaw cycles, and to ensure water tightness of the joint.	
	U	The joint material is severely deteriorated or the concrete adjacent to the monolith joints has spalled and cracked, damaging the waterstop; in either case damage has occurred to the point where it is apparent that the joint is no longer watertight and will not provide the intended level of protection during a flood.	
	N/A	There are no monolith joints in the interior drainage system.	
9. Culverts/Discharge Pipes ¹	A	There are no breaks, holes, cracks in the discharge pipes/ culverts that would result in significant water leakage. The pipe shape is still essentially circular. All joints appear to be closed and the soil tight. Corrugated metal pipes, if present, are in good condition with 100% of the original coating still in place (either asphalt or galvanizing) or have been relined with appropriate material, which is still in good condition. Condition of pipes has been verified using television camera video taping or visual inspection methods within the past five years, and the report for every pipe is available for review by the inspector.	
	M	There are a small number of corrosion pinholes or cracks that could leak water and need to be repaired, but the entire length of pipe is still structurally sound and is not in danger of collapsing. Pipe shape may be ovalized in some locations but does not appear to be approaching a curvature reversal. A limited number of joints may have opened and soil loss may be beginning. Any open joints should be repaired prior to the next inspection. Corrugated metal pipes, if present, may be showing corrosion and pinholes but there are no areas with total section loss. Condition of pipes has been verified using television camera video taping or visual inspection methods within the past five years, and the report for every pipe is available for review by the inspector.	
	U	Culvert has deterioration and/or has significant leakage; it is in danger of collapsing or as already begun to collapse. Corrugated metal pipes have suffered 100% section loss in the invert. HOWEVER: Even if pipes appear to be in good condition, as judged by an external visual inspection, an Unacceptable Rating will be assigned if the condition of pipes has not been verified using television camera video taping or visual inspection methods within the past five years, and reports for all pipes are not available for review by the inspector.	
	N/A	There are no discharge pipes/ culverts.	

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¹ The decision on whether or not USACE inspectors should enter a pipe to perform a detailed inspection must be made at the USACE District level. This decision should be made in conjunction with the District Safety Office, as pipes may be considered confined spaces. This decision should consider the age of the pipe, the diameter of the pipe, the apparent condition of the pipe, and the length of the pipe. If a pipe is entered for the purposes of inspection, the inspector should record observations with a video camera in order that the condition of the entire pipe, including all joints, can later be assessed. Additionally, the video record provides a baseline to which future inspections can be compared.



Interior Drainage System

For use during Initial and Continuing Eligibility Inspections of interior drainage systems

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
10. Sluice / Slide Gates ¹	A	Gates open and close freely to a tight seal or minor leakage. Gate operators are in good working condition and are properly maintained. Sill is free of sediment and other obstructions. Gates and lifters have been maintained and are free of corrosion. Documentation provided during the inspection.	
	M	Gates and/or operators have been damaged or have minor corrosion, and open and close with resistance or binding. Leakage quantity is controllable, but maintenance is required. Sill is free of sediment and other obstructions.	
	U	Gates do not open or close and/or operators do not function. Gate, stem, lifter and/or guides may be damaged or have major corrosion.	
	N/A	There are no sluice/ slide gates.	
11. Flap Gates/ Flap Valves/ Pinch Valves ²	A	Gates/ valves open and close easily with minimal leakage, have no corrosion damage, and have been exercised and lubricated as required.	
	M	Gates/ valves will not fully open or close because of obstructions that can be easily removed, or have minor corrosion damage that requires maintenance.	
	U	Gates/ valves are missing, have been damaged, or have deteriorated to the point that they need to be replaced.	
	N/A	There are no flap gates.	
12. Trash Racks (non-mechanical)	A	Trash racks are fastened in place and properly maintained.	
	M	Trash racks are in place but are unfastened or have bent bars that allow debris to enter into the pipe or pump station, bars are corroded to the point that up to 10% of the sectional area may be lost. Repair or replacement is required.	
	U	Trash racks are missing or damaged to the extent that they are no longer functional and must be replaced. (For example, more than 10% of the sectional area may be lost.)	
	N/A	There are no trash racks, or they are covered in the pump stations section of the report.	
13. Other Metallic Items	A	All metal parts are protected from corrosion damage and show no rust, damage, or deterioration that would cause a safety concern.	
	M	Corrosion seen on metallic parts appears to be maintainable.	
	U	Metallic parts are severely corroded and require replacement to prevent failure, equipment damage, or safety issues.	
	N/A	There are no other significant metallic items.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

¹ Proper operation of the gates (full open and closed) must be demonstrated during the inspection if no documentation is available. Be aware of both manual and electrical operators.

² Proper operation of this item must be demonstrated during the inspection.



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Interior Drainage System

For use during Initial and Continuing Eligibility Inspections of interior drainage systems

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
14. Riprap Revetments of Inlet/ Discharge Areas	A	No riprap displacement or stone degradation that could pose an immediate threat to the integrity of channel bank. Riprap intact with no woody vegetation present.	
	M	Minor riprap displacement or stone degradation that could pose an immediate threat to the integrity of the channel bank. Unwanted vegetation must be cleared or sprayed with an appropriate herbicide.	
	U	Significant riprap displacement, exposure of bedding, or stone degradation observed. Scour activity is undercutting banks, eroding embankments, or impairing channel flows by causing turbulence or shoaling. Rock protection is hidden by dense brush, trees, or grasses.	
	N/A	There is no riprap protecting this feature of the system, or riprap is discussed in another section.	
15. Revetments other than Riprap	A	No riprap displacement or stone degradation that could pose an immediate threat to the integrity of channel bank. Riprap intact with no woody vegetation present.	
	M	Minor riprap displacement or stone degradation that could pose an immediate threat to the integrity of the channel bank. Unwanted vegetation must be cleared or sprayed with an appropriate herbicide.	
	U	Significant riprap displacement, exposure of bedding, or stone degradation observed. Scour activity is undercutting banks, eroding embankments, or impairing channel flows by causing turbulence or shoaling. Rock protection is hidden by dense brush, trees, or grasses.	
	N/A	There are no such revetments protecting this feature of the system.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction



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Pump Stations

For use during Initial and Continuing Eligibility Inspections of pump stations

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
1. Pump Stations Operating, Maintenance, Training, & Inspection Records	A	Operation, maintenance and inspection records are present at the pump station and are being used and updated, and personnel have been trained in pump station operations. Names and last training date shown in the record book.	
	M	Operation, maintenance and inspection records are present but not adequately used and updated.	
	U	No operation, maintenance and inspection records are present, or refresher training for personnel has not been conducted.	
2. Pump Station Operations and Maintenance Equipment Manuals	A	Operation and Maintenance Equipment Manuals and/or posted operating instructions are present and updated as required, and adequately cover all pertinent pump station features. O&M manuals include points of contact for manufacturers and suppliers of major equipment used in the facility.	
	M	Operation and Maintenance Equipment Manuals and/or posted operating instructions are present and adequately cover all pertinent pump station features. However, they are incomplete and the necessary updates have not been made.	
	U	Operation and Maintenance Equipment Manuals are not available.	
3. Safety Compliance	A	Safety compliance inspection reports by applicable local, state, or federal agencies available for review.	
	M	No safety compliance inspection reports are available for review.	
4. Communications (A or M only)	A	A telephone, cellular phone, two-way radio, or similar device is available to pump station operator and maintenance personnel.	
	M	A telephone, cellular phone, two-way radio, or similar device is not available to pump station operator and maintenance personnel.	
5. Plant Building	A	The building is in good structural condition with no major foundation settlement problems. The roof is not leaking, intake & exhaust louvers are clear of debris, fans are operational, etc.	
	M	There are minor structural defects, minimal foundation settlement, leaks, or other conditions noted that need repair. Defects do not threaten the structural integrity or stability of the building, and will not impact pumping operations.	
	U	The structural integrity or stability of the building is threatened, or there is damage to the building that threatens safety of the operator or impacts pumping operations.	
6. Fencing and Gates ¹	A	Fencing is in good condition and provides protection against falling or unauthorized access. Gates open and close freely, locks are in place, and there is little corrosion on metal parts.	
	M	Fencing or gates are damaged or corroded but appear to be maintainable. Locks may be missing or damaged.	
	U	Fencing and gates are damaged or corroded to the point that replacement is required, or potentially dangerous features are not secured.	
	N/A	There are no features noted that require safety fencing.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

¹ Proper operation of this item must be demonstrated during the inspection.



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Pump Stations

For use during Initial and Continuing Eligibility Inspections of pump stations

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
7. Pumps ¹	A	All pumps are properly maintained and lubricated. Systems are periodically tested and documented for review. No vibration, cavitation noises or unusual sounds are noted when the pump is operated. Bearing temperature sensor records don't indicate any problems.	
	M	Minor deficiencies noted that need to be closely monitored or repaired, such as the presence of slight vibrations, leakage of packing gland, bearing temperature sensors are inoperable or no record is present. However, the pumps are operational and are expected to perform through the next period of usage.	
	U	Major deficiencies identified that may significantly reduce pumping operations. For example, bearing sensor records indicate problems, excessive vibration noted, impellers are badly corroded, or there are eroded or missing blades.	
8. Motors, Engines, Fans, Gear Reducers, Back Stop Devices, etc.	A	All items are operational. Preventative maintenance and lubrication is being performed and the system is periodically subjected to performance testing. Instrumentation, alarms, bearing sensors and auto shutdowns are operational.	
	M	Systems have minor deficiencies, but are operational and will function adequately through the next flood. Bearing sensors are not operational.	
	U	One or more of the primary motors or systems is not operational, or noted deficiencies have not been corrected.	
9. Sumps / Wet well	A	Clear of debris, sediment, or other obstructions. Procedures are in place to remove debris accumulation during operation.	
	M	Debris, sediment, or other obstructions may be present and must be removed, but the sump/ wet well will function as intended during the next flood. Procedures are in place to remove debris accumulation during operation.	
	U	Large debris or excessive silt present which will hinder or damage pumps during operation, or no procedures established to remove debris accumulation during operation.	
10. Mechanical Operating Trash Rakes ¹	A	Drive chain, bearing, gear reducers, and other components are in good operating condition and are being properly maintained.	
	M	The trash rake is in need of maintenance, but is still operational.	
	U	Trash rake not operational or deficiencies will inhibit operations during the next flood event.	
	N/A	There are no mechanical trash rakes.	
11. Non-Mechanical Trash Racks	A	Trash racks are fastened in place and properly maintained.	
	M	Trash racks are in place but are unfastened or have bent bars that allow debris to enter into the pipe or pump station, bars are corroded to the point that up to 10% of the sectional area may be lost. Repair or replacement is required.	
	U	Trash racks are missing or damaged to the extent that they are no longer functional and must be replaced. (For example, more than 10% of the sectional area may be lost.)	
	N/A	There are no trash racks, or they are covered in the pump stations section of the report.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

¹ Proper operation of this item must be demonstrated during the inspection.

Pump Stations			
For use during Initial and Continuing Eligibility Inspections of pump stations			
Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
12. Fuel System for Pump Engines	A	Fuel system is operational, day tank present and operational, fuel fresh and rotated regularly.	
	M	Fuel system is operational and of adequate capacity, but day tank is missing or fuel is not fresh and rotated regularly.	
	U	Fuel system not functional.	
	N/A	No fuel system.	
13. Power Source	A	The normal power source and backup generators, if installed, are operational, properly exercised and well maintained. Surge protection, grounding, lightning protection, transformers, and automatic/manual transfer of main power to backup system is working.	
	M	Normal power source and backup units, if applicable, are operational with minor discrepancies or maintenance, inspection and exercising record is present but not up to date. Preventative maintenance or repairs are required.	
	U	Normal power source or generators are not operational and must be repaired; or generator, if required, is not on site.	
14. Electrical Systems ¹	A	Operational and maintained free of damage, corrosion, and debris. Preventative maintenance and system testing is being performed periodically.	
	M	Operational with minor discrepancies. Preventative maintenance or repairs are required, but the components are expected to function adequately during the next flood event.	
	U	Components of the electrical system will not function adequately during the next flood event and must be replaced.	
15. Megger Testing on Pump Motors and Critical Power Cables	A	Results of megger tests on pump motors or critical power cables show that the insulation meets manufacturer's or industry standards. Tested within the last year.	
	M	Megger testing not conducted within the past year. If megger tests on pump motors indicate that insulation resistance is below the manufacturer's or industry standard, but the resistance can be corrected with proper application of heat, this is minimally acceptable. (The application of heat does not relate to critical power cables.)	
	U	Megger tests not conducted within past two years, or tests indicate that insulation resistance is low enough that the equipment will not be able to meet design standards of operation; or evidence of arcing or shorting is detected visually.	
16. Enclosures, Panels, Conduit and Ducts	A	All enclosures, panels, conduits, and ducts are protected from corrosion damage and show no rust, damage, or deterioration that would cause a safety concern.	
	M	Minor surface corrosion which appears to be maintainable. Cleaning and painting required.	
	U	Severely corroded and must be replaced to prevent failure, equipment damage, or safety issues.	

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¹ Check motor control center, circuit breakers, pilot lights, volt meters, ammeters, sump level indicator, gate position indicators, remote operating systems, including SCADA and telemetry systems. Also, check interior and exterior lighting; especially lighting near trash rack screens, ladders, walkways, etc.

Pump Stations

For use during Initial and Continuing Eligibility Inspections of pump stations

Rated Item	Rating	Rating Guidelines	Location/ Remarks/ Recommendations
17. Intake and Discharge Pipelines	A	Intake and discharge pipelines have no corrosion and paint is intact, except for minor touch up required. Pipe couplings and anchors have no leakage or corrosion.	
	M	Intake and discharge pipelines have minor corrosion and repair and painting is required. Pipe coupling with anchors have minor leakage, corrosion and require bolts to be tightened.	
	U	Intake and discharge pipelines have major corrosion and replacement is required. Pipe coupling with anchors have major leakage and is heavily corroded and requires replacement.	
18. Sluice/ Slide Gates ¹	A	Gates open and close freely to a tight seal or minor leakage. Gate operators are in good working condition and are properly maintained. Sill is free of sediment and other obstructions. Gates and lifters have been maintained and are free of corrosion. Documentation provided during the inspection.	
	M	Gates and/or operators have been damaged or have minor corrosion, and open and close with resistance or binding. Leakage quantity is controllable, but maintenance is required. Sill is free of sediment and other obstructions.	
	U	Gates do not open or close and/or operators do not function. Gate, stem, lifter and/or guides may be damaged or have major corrosion.	
	N/A	There are no sluice/ slide gates.	
19. Flap Gates/ Flap Valves/ Pinch Valves ²	A	Gates/ valves open and close easily with minimal leakage, have no corrosion damage, and have been exercised and lubricated as required.	
	M	Gates/ valves will not fully open or close because of obstructions that can be easily removed, or have minor corrosion damage that requires maintenance.	
	U	Gates/ valves are missing, have been damaged, or have deteriorated to the point that they need to be replaced.	
	N/A	There are no gates on discharge lines from pump station.	
20. Cranes ²	A	Cranes operational and have been inspected and load tested in accordance with applicable standards within the last year. Documentation is on hand.	
	M	Cranes have not been inspected or operationally tested within the past year, or there are visible signs of corrosion, oil leakage, etc, requiring maintenance.	
	U	Cranes are not operational, and this may prevent the pump station from functioning as required. No documentation available on cranes.	
	N/A	There are no cranes.	
21. Other Metallic Items (Equipment, Ladders, Platform Anchors, etc)	A	All metal parts are protected from corrosion damage and show no rust, damage, or deterioration that would cause a safety concern.	
	M	Corrosion seen on metallic parts appears to be maintainable.	
	U	Metallic parts are severely corroded and require replacement to prevent failure, equipment damage, or safety issues.	
	N/A	There are no other significant metallic items.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

¹ Proper operation of the gates (full open and closed) must be demonstrated during the inspection if no documentation is available. Be aware of both manual and electrical operators.

² Proper operation of this item must be demonstrated during the inspection.



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Flood Damage Reduction Channels

For use during Initial and Continuing Eligibility Inspections of flood damage reduction channels

Rated Item	Rating	Rating Guidelines	Location/Remarks/Recommendations
1. Vegetation and Obstructions	A	No obstructions, vegetation, debris, or sediment accumulation within the channel. Concrete channel joints and weep holes are free of grass and weeds.	
	M	Obstructions (including log jams), vegetation, debris, or sediment are minor and have not impaired channel flow capacity, but should be removed. Sediment shoals have not developed to the extent that they can support vegetation other than non-aquatic grasses. A limited volume of grass and weeds may be present in concrete channel joints and weep holes.	
	U	Obstructions (including log jams), vegetation, debris or sediment have impaired the channel flow capacity. Sediment shoals are well established and support woody and/or brushy vegetation. Sediment and debris removal required to re-establish flow capacity.	
2. Shoaling ¹ (sediment deposition)	A	No shoaling or minor, non-vegetated shoaling is present.	
	M	More widespread vegetated and non-vegetated shoaling is present. Non-aquatic grasses are present on shoal. No trees or brush is present on shoal, and channel flow is not significantly reduced. Sediment and debris removal recommended.	
	U	Shoaling is well established, stabilized by saplings, brush, or other vegetation. Shoals are diverting flow to channel walls. Channel flow capacity is reduced and maintenance is required.	
3. Encroachments	A	No trash, debris, unauthorized structures, excavations, or other obstructions present within the easement area. Encroachments have been previously reviewed by the Corps, and it was determined that they do not diminish proper functioning of the channel.	
	M	Trash, debris, unauthorized structures, excavations, or other obstructions present, or inappropriate activities noted that should be corrected but will not inhibit operations and maintenance or emergency operations. Encroachments have not been reviewed by the Corps.	
	U	Unauthorized encroachments or inappropriate activities noted are likely to inhibit operations and maintenance, emergency operations, or negatively impact the integrity of the channel.	
4. Erosion	A	No head cutting or horizontal deviation observed.	
	M	Head cutting and horizontal deviation evident, but is less than 1 foot from the designed grade or cross section.	
	U	Head cutting and horizontal deviation of more than 1 foot from the designed grade or cross section. Corrective actions required to stop or slow erosion.	

Key: A = Acceptable. M = Minimally Acceptable; Maintenance is required. U = Unacceptable. N/A = Not Applicable. FDR = Flood Damage Reduction

¹ If weather and flow conditions allow, inspectors should walk in the channel and probe shoal areas in order to estimate extent of blockage of the cross-sectional area where shoaling is present.

Flood Damage Reduction Channels

For use during Initial and Continuing Eligibility Inspections of flood damage reduction channels

Rated Item	Rating	Rating Guidelines	Location/Remarks/Recommendations
5. Concrete Surfaces	A	Negligible spalling, scaling or cracking. If the concrete surface is weathered or holds moisture, it is still satisfactory but should be seal coated to prevent freeze/ thaw damage.	
	M	Spalling, scaling, and open cracking present, but the immediate integrity or performance of the structure is not threatened. Reinforcing steel may be exposed. Repairs/ sealing is necessary to prevent additional damage during periods of thawing and freezing.	
	U	Surface deterioration or deep cracks present that may result in an unreliable structure. Any surface deterioration that exposes the sheet piling or lies adjacent to monolith joints may indicate underlying reinforcement corrosion and is unacceptable.	
	N/A	There are no concrete items in the channel.	
6. Tilting, Sliding or Settlement of Concrete Structures ¹	A	There are no significant areas of tilting, sliding, or settlement that would endanger the integrity of the structure.	
	M	There are areas of tilting, sliding, or settlement (either active or inactive) that need to be repaired. The maximum offset, either laterally or vertically, does not exceed 2 inches unless the movement can be shown to be no longer actively occurring. The integrity of the structure is not in danger.	
	U	There are areas of tilting, sliding, or settlement (either active or inactive) that threaten the structure's integrity and performance. Any movement that has resulted in failure of the waterstop (possibly identified by daylight visible through the joint) is unacceptable. Differential movement of greater than 2 inches between any two adjacent monoliths, either laterally or vertically, is unacceptable unless it can be shown that the movement is no longer active. Also, if the floodwall is of I-wall construction, then any visible or measurable tilting of the wall toward the protected side that has created an open horizontal crack on the riverside base of a monolith is unacceptable.	
	N/A	There are no concrete items in the channel.	
7. Foundation of Concrete Structures ²	A	No active erosion, scouring, or bank caving that might endanger the structure's stability.	
	M	There are areas where the ground is eroding towards the base of the structure. Efforts need to be taken to slow and repair this erosion, but it is not judged to be close enough to the structure or to be progressing rapidly enough to affect structural stability before the next inspection. For the purposes of inspection, the erosion or scour is not closer to the riverside face of the wall than twice the floodwall's underground base width if the wall is of L-wall or T-wall construction; or if the wall is of sheetpile or I-wall construction, the erosion is not closer than twice the wall's visible height. Additionally, rate of erosion is such that the wall is expected to remain stable until the next inspection.	
	U	Erosion or bank caving observed that is closer to the wall than the limits described above, or is outside these limits but may lead to structural instabilities before the next inspection. Additionally, if the floodwall is of I-wall or sheetpile construction, the foundation is unacceptable if any turf, soil or pavement material got washed away from the landside of the I-wall as the result of a previous overtopping event.	
	N/A	There are no concrete items in the channel.	

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¹ The sponsor should be monitoring any observed movement to verify whether the movement is active or inactive.

² Inspectors must have as-built drawings available during the inspection so that the lateral distance to the heel and toe of the floodwalls can be determined in the field.



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Flood Damage Reduction Channels

For use during Initial and Continuing Eligibility Inspections of flood damage reduction channels

Rated Item	Rating	Rating Guidelines	Location/Remarks/Recommendations
8. Slab and Monolith Joints	A	The joint material is in good condition. The exterior joint sealant is intact and cracking/ desiccation is minimal. Joint filler material and/or waterstop is not visible at any point.	
	M	The joint material has appreciable deterioration to the point where joint filler material and/or waterstop is visible in some locations. This needs to be repaired or replaced to prevent spalling and cracking during freeze/ thaw cycles, and to ensure water tightness of the joint.	
	U	The joint material is severely deteriorated or the concrete adjacent to the monolith joints has spalled and cracked, damaging the waterstop; in either case damage has occurred to the point where it is apparent that the joint is no longer watertight and will not provide the intended level of protection during a flood.	
	N/A	There are no concrete items in the channel.	
9. Flap Gates/Flap Valves/ Pinch Valves ¹	A	Gates/ valves open and close easily with minimal leakage, have no corrosion damage, and have been exercised and lubricated as required.	
	M	Gates/ valves will not fully open or close because of obstructions that can be easily removed, or have minor corrosion damage that requires maintenance.	
	U	Gates/ valves are missing, have been damaged, or have deteriorated to the point that they need to be replaced.	
	N/A	There are no flap gates.	
10. Riprap Revetments & Banks	A	No riprap displacement or stone degradation that could pose an immediate threat to the integrity of channel bank. Riprap intact with no woody vegetation present.	
	M	Minor riprap displacement or stone degradation that could pose an immediate threat to the integrity of the channel bank. Unwanted vegetation must be cleared or sprayed with an appropriate herbicide.	
	U	Significant riprap displacement, exposure of bedding, or stone degradation observed. Scour activity is undercutting banks, eroding embankments, or impairing channel flows by causing turbulence or shoaling. Rock protection is hidden by dense brush, trees, or grasses.	
	N/A	There is no riprap protecting this feature of the system, or riprap is discussed in another section.	
11 Revetments other than Riprap	A	Existing revetment protection is properly maintained, undamaged, and clearly visible.	
	M	Minor revetment displacement or deterioration that does not pose an immediate threat to the integrity of the levee. Unwanted vegetation must be cleared or sprayed with an appropriate herbicide.	
	U	Significant revetment displacement, deterioration, or exposure of bedding observed. Scour activity is undercutting banks, eroding embankments, or impairing channel flows by causing turbulence or shoaling. Revetment protection is hidden by dense brush and trees.	
	N/A	There are no such revetments protecting this feature of the system.	

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¹ Proper operation of this item must be demonstrated during the inspection.



Flood Damage Reduction System Supplemental Data Sheet

This form is intended for the Corps' internal use and may not need to be updated with every inspection.

Name of System: Sponsor: Location: River Basin: Project Description: Authority that Project was Constructed Under: Date of Construction: Approximate Annual Maintenance Costs: Construction: <input type="checkbox"/> Federally Constructed <input type="checkbox"/> Non-Federally Constructed Maintenance: <input type="checkbox"/> Federally Maintained <input type="checkbox"/> Non-Federally Maintained	
National Flood Insurance Program: a. Is the project currently in the NFIP? <input type="checkbox"/> Yes <input type="checkbox"/> No b. If in the NFIP, Date of Certification (per 44 CFR 65.10):	
Datum Information: a. Datum used for the design and construction of this project is: b. Current recommended datum for this project is: c. Has the project been converted to the current recommended datum? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Levee Embankment Data: a. Levee Designed Gage Function Reading/Station: b. Level of Protection Provided: c. Average Height of Levee: d. Average Crown Width: e. Average Side Slope:	Protected Features (For use in preparing estimates and PIRs): a. Total acres protected: b. Total agricultural production acres protected: c. Towns: d. Businesses: e. Residences: f. Roads: g. Utilities: h. Barns: i. Machine Sheds: j. Outbuildings: k. Irrigation Systems: l. Grain Bins: m. Other Facilities:



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Appendix D

Non-Federal Levee System Public Sponsor Assurances and Agreement Form

The U.S. Army Corps of Engineers is authorized by Public Law 84-99 to provide supplemental assistance to rehabilitate and inspect Flood Control Works (FCW). A requirement for acceptance into the Rehabilitation and Inspection Program (RIP) requires all FCW's to have a Public Sponsor as defined by their state law. The sponsor will be the public representative for the project in all matters concerning the levee system. Public sponsors must be one of the following:

- A legal subdivision of a state government or a state itself;
- A local unit of government;
- A state chartered organization such as a levee board; or
- A qualified Indian tribe or Tribal organization.

The sponsor will be responsible for the Operation and Maintenance (O&M) of the FCW. The O&M are routine maintenance performed to ensure that the FCW functions as it was intended, e.g. operate all gates, maintain the vegetation, prevent encroachments, etc. The Levee Owner's Manual for Non-Federal Flood Control Works RIP under the PL 84-99 program describes in detail the Operation & Maintenance (O&M) required for a levee system.

The Corps of Engineers has the authority under Public Law 84-99 to supplement local efforts in the repair of non-Federal (constructed by non-Federal interests or by Work Projects Administration (WPA)) flood control projects damaged by flood.

- a. For a non-Federal flood control project to be eligible for Rehabilitation Assistance, it must have been inspected, evaluated, and accepted into the Corps Rehabilitation and Inspection Program (i.e., granted Active status) **prior** to the onset of the flood, and still be active (based on the latest Continuing Eligibility Inspection) at the time of the flood.
- b. Rehabilitation Assistance will be provided by the Corps only when the work is economically justifiable, the damage was sustained during the recent flood event, and the cost of repairs is more than \$15,000.
- c. Repairs of non-Federal projects are cost shared between the Public Sponsor and the Corps of Engineers. The Local Sponsor must provide 20 percent of the cost of the rehabilitation.
- d. The non-Federal public sponsor must sign a Local Cooperation Agreement (LCA) prior to repair of FCW, reference Attachment A for more details.

Appendix D

Non-Federal Levee System

Public Sponsor Assurances and Agreement Form (cont.)

Being a duly authorized public institution within the state of _____
(state)

the _____
(Public Sponsor Name)

agrees to serve as the public sponsor for the Flood Control Works, _____
(Project Name)

_____ located at
_____ for waterway
(Location – City, County, State)

_____.
(River or Stream)

If the above mentioned FCW is eligible for PL 84-99 assistance, as Public Sponsor, I agree to comply with the O&M requirements as identified in the Levee Owner's Manual, and comply with the required items of Local Cooperation Agreement (Attachment A).

Public Sponsor Point of Contact:

(Name)

(Address, City, State, Zip)

_____ (Telephone – Home) _____ (Telephone – Work)

I hereby certify that I am duly authorized to comply with this agreement on behalf of the Owner(s).

Sincerely,

(Print Name) (Title) (Date)

Attachment A

REQUIRED ITEMS OF LOCAL COOPERATION

A. Provide without cost to the United States the necessary land easements and right-of way including borrow and disposal areas (suitable to the United States) as necessary to perform the required repair work; and secure all necessary permits and/or furnish evidence of such required permits prior to initiation of construction by the Corps of Engineers. Easements (which extend for the life of the project) will also be provided for future maintenance or removal and inspection.

B. Hold and save the United States free from damages due to the authorized work exclusive of damages due to the fault or negligence of the United States or its contractor.

C. Contribute 20 percent of the cost of the rehabilitation repairs. Contributed cost may be in the form of cash (provided prior to the award of a Federal contract for the authorized work) or in-kind services such as labor or equipment or a combination of both. The value of in-kind contributions will be determined by the District Engineer. Items of cooperation required by the Corps such as lands, easements, and right-of-way are not considered to be contributed costs.

D. Maintain and operate in a manner satisfactory to the Chief of Engineers, (1) all the repair or restoration work after completion and (2) all interrelated portions of the flood control project not requiring repair or restoration, such as levees, berms, drainage structures, bank protection, etc.

E. Remove all temporary works constructed by the Corps of Engineers within thirty days after the flood threat has passed.

F. Responsible for all relocations (I.e. roadway, utilities, etc.) required by an approved levee rehabilitation plan.

APPENDIX E

MAINTENANCE GUIDE

The purpose of this maintenance guide is to provide guidance for the maintenance of your levee. The following paragraphs outline maintenance standards and procedures for various project elements.

EARTH EMBANKMENTS. Earth embankments shall be maintained to remedy any adverse conditions threatening the integrity of the structure. Cracks, ruts, washes, settlements, or sloughing caused by erosive elements either natural or man-made should be promptly repaired by replacing any loss of material from the crown or slopes with like material and compacting it to proper density. Embankment crowns shall be graded as necessary to drain freely such that impoundment of water on the crown does not occur. After repairs, the embankment should be graded sufficiently smooth to provide for ease of maintenance and to prepare the necessary surface for establishing sturdy vegetative growth.

EROSION PROTECTION OR REVETTED AREAS. Erosion protection or revetted areas shall be maintained to provide the intended degree of protection and to insure the integrity of the main structure or earth embankment. Stone or riprap materials which have been displaced, washed out, deteriorated or should be replenished with sufficient quantities of like material, of proper size and quality to provide the necessary protection required. Areas where bedding or bank material beneath riprap is exposed or disturbed shall be repaired with suitable material and compacted prior to placement of stone or riprap.

CHANNELS, FLOODWAYS, OR PONDING AREAS. Shall be maintained to avoid significant increases in the floodway water surface elevations. If the capacity of these areas is reduced by earth deposits, debris, trash, undesirable vegetation, or unauthorized structures or encroachments, the obstructions should be removed and properly disposed of. Desirable vegetative growth (excluding trees) which contributes to the preservation of natural resources and wildlife should be left; unless, the growth lessens the degree of protection below the minimum required or threatens the structural integrity of the project.

CONTROL OF UNWANTED VEGETATION AND MAINTENANCE OF SOD.

Sod Cover. Maintenance, including reseeding, mowing, and fertilizing of sod growth on earth embankments is required as sod is one of the most effective means of protecting flood control structures such as levees against erosion from rain, current, and wave wash. Periodic mowing is essential to *maintain a* good sod growth and should be done at intervals necessary to control weeds and other noxious growth and to prevent the grass height from exceeding 12". The grass should be mowed to a height of 2" or more. The last mowing of the season should be accomplished under conditions which will allow the grass to obtain a height of approximately 8" to 10" going into the winter season. Mowing shall be performed to a distance of at least 5 feet beyond the toe of the embankment. Whenever possible, initial mowing should be made after 15 July to allow habitat for wildlife hatching. Also, local interests are encouraged to sell hay from their projects to help offset their cost of maintaining the structure. In addition, programs of fertilizing and reseeding are encouraged to maintain a heavy sod cover.

Unwanted Vegetation Growth of Trees, Brush and Weeds. Current criteria is there should be no unwanted vegetation within 15 feet of the levee toe, around all drainage structures, adjacent to floodwalls or similar structures or within all riprap. Unwanted growth which affects the maintenance, inspections and stability of the structure, or interferes with and/or jeopardizes proper operation of the project during high water conditions shall be removed. Spraying with herbicides as needed during the growing season may be desirable for weed and brush control. Herbicides shall be used in accordance with state laws and regulations.

CONTROL OF GRAZING, ENCROACHMENT, AND TRESPASS. All feasible efforts such as fencing, gates, surveillance, etc., shall be made to discourage and/or control grazing, encroachment by construction of unauthorized vehicular traffic, etc., through over or adjacent to the project. Damages resulting from such activities should be repaired to preserve the integrity of the structure and project.

CONTROL OF BURROWING ANIMALS. An effective program shall be maintained for controlling burrowing animals. All animal burrows in earth embankments or immediately adjacent to the flood control structures should be properly filled, compacted, and reseeded as necessary to preserve the structure's integrity and function. One of the best ways to control burrowing animals is to control the vegetative growth. Burrowing animals like to hide under tall vegetation such as, brush, tall weeds, etc.

MAINTENANCE OF ROADWAYS, GATES, AND FENCES. Access roads to and on embankments, or other flood control structures, should be bladed, and if applicable, surfacing material replenished as necessary to keep the roadway shaped properly and free of ruts, pockets, and washes. Ramp embankments shall be maintained to their net section and design grade to assure proper access. Maintenance should be performed to repair fences, gates, etc., used to restrict encroachment and trespassing onto the structure or within the project's right-of-way, especially where private or public roads cross over such structures.

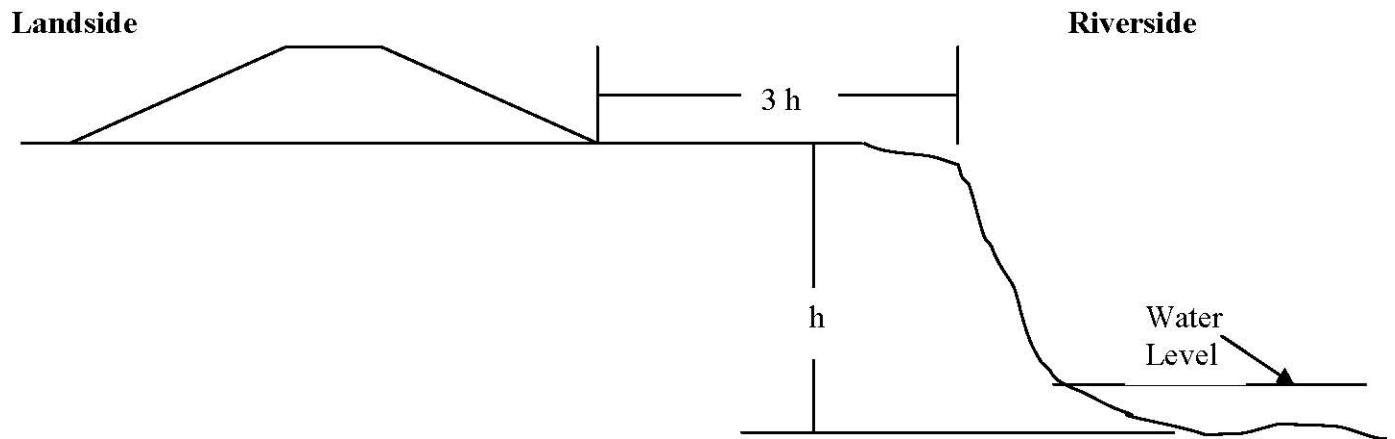
BANK CAVING CONDITIONS *RIVERWARD* OF FLOOD CONTROL STRUCTURE which endangers the stability and/or function of the structure must be corrected immediately. This may require stabilization of the river bank by any acceptable and proven method (technical assistance can be requested from the Corps of Engineers), or in extreme cases, relocation or setback of the flood control structure. Note that work within the river channel which involves adding of material will require a 404 permit from the Corps of Engineers.

MISCELLANEOUS FLOOD CONTROL FACILITIES, STRUCTURES AND APPURTENANCES.

Miscellaneous flood control facilities, structures, culverts, flap gates, manually operated gates or valves, (inlets or outlets, etc.) which are constructed on, over, or through flood control structures, shall be maintained in good operating condition. The condition of these facilities or structures shall be inspected annually, and those items which are operative only during high water stages shall be checked carefully and repaired prior to the high water season.

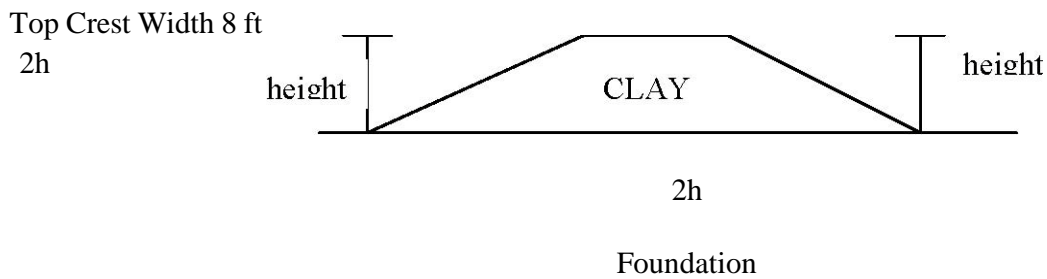
FLOODWALLS AND OTHER FLOOD CONTROL RELATED STRUCTURES OR APPURTENANCES *MADE OF CONCRETE OR SIMILAR MATERIALS.*

Structures applicable to this category, which are deteriorated or showing signs of settlement shall be promptly repaired, replaced, corrected and routinely maintained to function as intended.

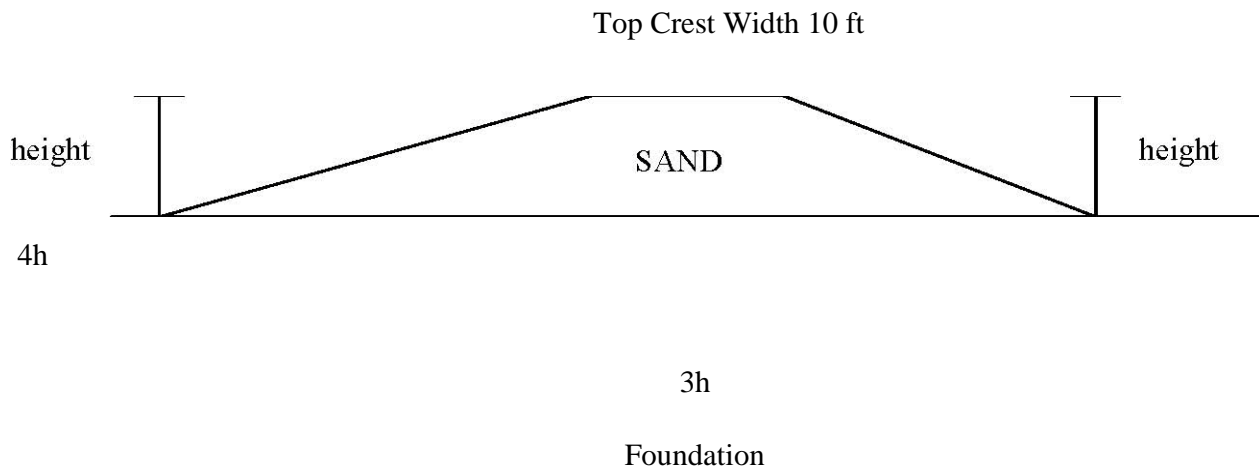


APPENDIX F MINIMUM SETBACK REQUIREMENTS

MINIMUM LEVEE SETBACK



MINIMUM CROSS SECTION FOR CLAY LEVEE



MINIMUM CROSS SECTION FOR SAND LEVEE