



*ALBUQUERQUE DISTRICT
U.S. ARMY CORPS OF ENGINEERS*

STREAM STABILIZATION AND WATER QUALITY IMPROVEMENT PROJECTS
PROJECTS IN URBAN EPHEMERAL CHANNELS
IN THE ALBUQUERQUE DISTRICT AREAS OF NEW MEXICO

DRAFT 1-21-14

EFFECTIVE DATE: XXXXXX

EXPIRATION DATE: XXXXXX

SPONSOR AND ISSUING OFFICE: U.S. Army Corps of Engineers, Albuquerque District

PERMIT NUMBER: Regional General Permit (RGP) No. NM-14-01 (Corps File No. SPA-2013-00565-ABQ)

PERMITTEE: Public agencies, businesses, or private parties (i.e., the public in general)

Note: The term "you" and its derivatives, as used in this permit, means the permittee. The term "this office" refers to the Albuquerque District office of the Corps of Engineers, which has jurisdiction over the permitted activity, or the appropriate official of this office acting under the authority of the District Engineer (DE).

After you receive written approval from this office that your project complies with the terms and conditions of this RGP, you are authorized to perform work in accordance with the General Conditions and any project-specific conditions.

PROJECT DESCRIPTION: This permit authorizes discharges of dredged or fill material into Waters of the United States for stream stabilization and water quality improvement projects in ephemeral drainages located in urban environments. Examples of work that maybe undertaken under this permit, upon authorization by the District Engineer (DE), include but are not limited to:

- Bank stabilization projects that exceed the thresholds for Nationwide Permit (NWP) 13 and are determined by the Corps to result in minimal impacts to the aquatic environment.
- Construction of grade control structures, energy dissipaters, and flow deflection structures.
- Installation of water quality improvement features (e.g. debris containment or removal structures, sediment settling basins).
- Any combination of these types of activities if the proposed undertaking will result in minimal impacts to the aquatic environment.

LOCATION: Within the State of New Mexico.

GENERAL CONDITIONS OF THIS RGP:

1. **Time Period Covered:** This general permit will be effective through XXXXXXX; however eligible projects authorized under this general permit must be completed by the time specified in the approval notification. If more time is required, the permittee must request an extension of time from the Corps. Requests for time extension shall be submitted to the Corps at least 45 days prior to the permit's specified expiration.

Upon completion of the work, the permittee shall submit a signed Certification of Compliance form to the Corps. The certification shall include:

- a. A statement that the work was done in accordance with the Corps authorization, including any special conditions.
- b. A statement that the required compensatory mitigation, if applicable, was done in accordance with the permit conditions.
- c. The signature of the permittee certifying the completion of the work and mitigation.
- d. Project site photos.
- e. For all projects that include a design-build component, the permittee shall also submit a complete set of as-built drawings.

The reauthorization of this RGP in XXXXXX will be based on the permit's usefulness and an evaluation of the impacts of projects that were authorized.

2. Notification/Communication:

- a. **Timing:** The applicant must notify the DE as early as possible and shall not begin the activity until the DE provides written authorization that the activity may proceed under this RGP with any site-specific special conditions imposed by the District or DE.
 - i. Notification should be sent to:
Attn: NM/TX Branch Chief via fax at 505-342-3678 or mail/electronic mail at:
U.S. Army Corps of Engineers
Albuquerque District
Regulatory Division, CESA-RD
4101 Jefferson Plaza NE
Albuquerque, NM 87109
SPA-RD-NM@usace.army.mil
- b. **Contents of Notification:** The notification should be in writing and include the following information:
 - (1) Applicant's name, address, and telephone number, and contact information for the owner of the affected land.
 - (2) A written description of the proposed work including:
 - (a) The purpose and need- describe nature of the project that falls within the scope of this RGP.
 - (b) A description of waters of the United States that may be affected by the activities
 - (c) Type, composition, and quantity of material to be excavated or placed (including temporary material used for cofferdams, etc)
 - (d) Length, width, and depth of fill area and/or excavation area
 - (e) A discussion of the direct and indirect adverse environmental effects of the activity

- (f) Location of disposal site for excavated material
 - (g) Type of equipment to be used
 - (h) Identification/delineation of wetlands
 - (i) The receiving site for excess water (drainage projects)
 - (j) Any other pertinent, supporting data
- (3) A location map indicating the location of the proposed work and a legal description (section, township, range, and county, NAD 83 UTM coordinates or latitude and longitude).
- (4) A set of 8.5 by 11-inch drawings showing the details of the proposed work (plan and cross-sectional views showing elevations and dimensions).
- (5) If applicable, a compensatory mitigation plan for proposed wetland and/or stream fill or drainage activities.
- (6) A written statement that the permittee agrees to abide by the terms and conditions of this permit.
- c. **Form of Notification:** The Nationwide Permit Pre-Construction Notification (PCN) Form, available from the District's website at: [http://www.spa.usace.army.mil/Portals/16/docs/civilworks/regulatory/NWP%20PCN%20Fillable%20Checklist-%20FINAL%20\(2\).pdf](http://www.spa.usace.army.mil/Portals/16/docs/civilworks/regulatory/NWP%20PCN%20Fillable%20Checklist-%20FINAL%20(2).pdf) may be used as the notification. Regardless of the form of notification, that applicant must provide all of the information required in General Condition 2.b. Items (1)-(6) above.
- d. **Mitigation:** Impacts resulting from discharges of dredged or fill material into Waters of the United States must be avoided or minimized to the maximum extent practicable. Compensation for unavoidable impacts will require at the discretion of the DE, appropriate mitigation measures. Factors that the DE will consider when determining the suitability of appropriate and practicable mitigation will include, but are not limited to:
- (1) The approximate functions and values of the aquatic resource being impacted, such as habitat value, aquifer recharge, sediment conveyance or retention, flood storage, etc.
 - (2) The permanence of the project's impacts on the resource; and
 - (3) The potential long-term effects of the action on remaining functions and values of the impacted aquatic resource.

To be practicable, the mitigation must be available and capable of being done considering costs, existing technology, and logistics in light of the overall project purpose. Examples of mitigation that may be appropriate and practicable include, but are not limited to: reducing the size of the project; establishing wetland or upland buffer zones to protect aquatic resource values; replacing the loss of aquatic resource values by creating, restoring, or enhancing similar functions and values; or using other methods to offset project impacts.

The DE will utilize a watershed-based approach to establish compensatory mitigation requirements in association with use of this RGP to the extent appropriate and practicable. The goal of a watershed approach is to maintain and improve the quality and quantity of aquatic resources in a watershed through strategic selection of mitigation sites. In implementing this approach, the DE will consider the importance of landscape position and resource type of mitigation projects for the sustainability of aquatic resource functions within the watershed.

- e. **District Engineer's Decision:** In reviewing the notification for the proposed activity, the DE will determine whether the activity would likely result in more than minimal individual or cumulative adverse environmental effects or may be contrary to the public's interest. The applicant may submit a proposed mitigation plan with the notification to expedite the process and the DE will consider any mitigation (See General Condition 2.b. above.) the applicant has included.

If the applicant elects to submit a mitigation plan as part of the proposed project, the DE will review the proposed plan. If the DE determines the activity complies with the terms and conditions of this RGP and the adverse effects are minimal, this office will notify the applicant and include any situation-specific conditions deemed necessary.

If the DE determines the adverse effects of the proposed work are more than minimal, the DE will notify the applicant that the project does not qualify for authorization under this RGP and instruct the applicant on the procedures to seek authorization under an individual permit or other general permit.

3. **Authorized Work:** Work not described in permit application documentation but deemed necessary after a field assessment is not authorized unless coordinated with the Regulatory project manager and acknowledged by appropriate means in writing (i.e., electronic mail or facsimile transmission, memo to the record, etc.).

This RGP also does not authorize work required by property owners as *quid pro quo* for access through private or public property where such access is contingent upon work conducted by the permittee in waters of the U.S. for the benefit of the property owner. This is absolutely inappropriate and such additional activities are violations of Section 404 of the Clean Water Act unless previously authorized. If a local agency needs to acquire such access from an otherwise uncooperative property owner, existing condemnation procedures should be utilized to acquire the temporary access or permanent easement.

4. **Access to Site:** You must allow representatives from this office and other agencies to inspect the authorized activity at any time deemed necessary to ensure the project is being or has been accomplished in accordance with the terms and conditions of this RGP.
5. **Tribal Rights:** No activity or its operation may impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights, and tribal water quality.
6. **Water Quality Certification:** *The New Mexico Environment Department, Surface Water Quality Bureau (SWQB) and Native American Tribes have been requested to provide water quality certification (WQC) under Section 401 of the Clean Water Act. The certification process is occurring concurrently with the Public Notice process.*

For Permittees on Non-tribal Land: *The New Mexico Environment Department SWQB has been requested to provide water quality certification during the public notice period for this RGP.*

For Permittees on Tribal Lands: *Water The 11 Native American tribes in New Mexico that have EPA approved water quality standards have been requested to provide water quality certification during the public notice period for this RGP. These are:*

- Santa Clara Pueblo

- Ohkay Owingeh
- Pueblo of Acoma
- Taos Pueblo
- Pueblo of Nambe
- Pueblo of Isleta
- Pueblo of Sandia
- Picuris Pueblo
- Pueblo of Pojoaque
- Tesuque Pueblo
- Navajo Nation

The U.S. Environmental Protection Agency has been requested to provide water quality certification during the public notice period for this RGP for tribal lands in New Mexico where the tribe does not have water quality certification authority. The 11 tribes that DO NOT have water quality certifying authority are:

- Santa Ana Pueblo
- Pueblo of Cochiti
- Jemez Pueblo
- Pueblo of San Felipe
- Pueblo of San Ildefonso
- Zia Pueblo
- Santa Domingo/Kewa Pueblo
- Zuni Pueblo
- Mescalero Apache Pueblo
- Laguna Pueblo
- Jicarilla Apache Pueblo

7. **Endangered Species:** No activity is authorized under this RGP which is likely to jeopardize the continued existence of a threatened or endangered species or destroy or adversely modify designated critical habitat as identified under the Federal Endangered Species Act (ESA).

As appropriate, the Corps will consult with the U.S. Fish and Wildlife Service (USFWS) on specific requests to perform work under this permit if the project may affect a threatened or endangered species, or critical habitat.

Consultation may conclude with the identification of conservation recommendations by the USFWS in non-jeopardy Biological Opinion (BO). At the Corps' discretion, these recommendations will be incorporated into the permit decision, and the Corps will enforce compliance with accepted recommendations. If the USFWS renders a jeopardy BO and reasonable and prudent alternatives cannot be implemented to avoid the unacceptable impacts, the project will require an individual Department of the Army permit. Authorization of an activity under this permit does not authorize the "take" of a threatened or endangered species as defined under the ESA. In the absence of separate authorization (e.g., an ESA Section 10 Permit, a BO with "incidental take" provisions, etc.) from the USFWS, both lethal and non-lethal "takes" of protected species are in violation of the ESA.

Information on the location of listed or proposed threatened or endangered species and their designated or proposed critical habitat can be obtained directly from the FWS or from their website at <http://www.fws.gov/endangered/>

8. **Historic Properties:** Impacts to historic properties listed, proposed for listing, or potentially eligible for listing in the National Register of Historic Places will be avoided to the maximum extent practicable. If such resources will be impacted because of actions authorized under this RGP, the Corps, the State Historic Preservation Office and/or the Advisory Council for Historic Preservation will then jointly make a determination as to appropriate procedures and/or mitigation to be addressed.

If the permittee discovers any previously unknown historic or archeological remains while accomplishing the activity authorized by this RGP, the permittee must immediately notify the Corps Regulatory Branch who will initiate the Federal and State coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

9. **Regional and Case-by-Case Conditions:** The activity must comply with any case-specific conditions added by the District Engineer.
10. **Erosion and Siltation Controls:** Every effort must be made to ensure any material dredged or excavated from Waters of the United States is not likely to be washed back into any Waters of the United States. When feasible, erosion and siltation controls, such as siltation or turbidity curtains, sedimentation basins, and/or straw bales or other means designed to minimize turbidity in the watercourse above background levels existing at the time of construction, shall be used and maintained in effective operating condition during construction unless conditions preclude their use, or if conditions are such that the proposed work would not increase turbidity levels above the background level existing at the time of the work. All exposed soil and other fills, as well as any work below the ordinary high water mark, must be stabilized at the earliest practicable date to preclude additional damage to the project area through erosion or siltation.
11. **Suitable Material:** No discharge of dredged or fill material may consist of unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.) and material discharged must be free from toxic pollutants in toxic amounts. During repair, demolition, treatments, or cleaning activities of bridges or associated structures, materials must be kept out of the channel. All asphalt, concrete, drilling fluids and other construction materials must be properly handled and contained to prevent releases into waters of the U.S. (See Section 307 of the Clean Water Act)
12. **Stream Channelization:** The Corps will not authorize blockage or filling of an existing stream meander in this RGP. The Corps will prohibit channelization, except for minor channelization or alignments in the vicinity of stream crossings.
13. **Proper Maintenance:** Any structure or fill authorized by this RGP shall be maintained; including maintenance to ensure public safety, unless it is later determined that the structure is further contributing to other adverse conditions to private or public property. In such situations, corrective measures will be taken to rectify these adverse conditions, including removal and/or redesign of the original corrective action, or appropriate mitigation as determined through coordination with the permittee and the appropriate Federal and State agencies.
14. **Removal of Temporary Fills:** Temporary fills shall be removed in their entirety and the affected areas returned to pre-existing elevations and revegetated with appropriate native

riparian or wetland vegetation common to the area. If an area impacted by such a temporary fill is considered likely to naturally re-establish native riparian or wetland vegetation to a level similar to pre-project or pre-event conditions within two years, removal will not be required.

15. **Removal of Vegetation:** Vegetation removal shall be limited to that which is necessary to ensure functionality of the implemented project. All removal of riparian or wetland vegetation must be fully described in 2.b. (2)(e) above.
16. **Bank Stabilization:** These activities must meet the following criteria:
 - a. No material is placed in excess of the minimum needed for erosion protection;
 - b. The activity will not exceed an average of one cubic yard per running foot placed along the bank below the plane of the ordinary high water mark, unless the district engineer waives this criterion by making a written determination concluding that the discharge will result in minimal adverse effects.
 - c. The single and complete bank stabilization project shall not exceed 2,000 feet in length along a stream bank. NOTE: For projects that include bank stabilization in multiple locations, each independent section of bank stabilization shall be considered a single and complete project.
 - d. The size of the bank stabilization measures shall be large enough to withstand expected high flow velocities and turbulence to prevent utilized materials from dislodging.
 - e. In most cases, the slope steepness of the bank stabilization should not exceed one foot vertical for two feet horizontal. However, to accommodate the situation where stabilization is needed in confined urban areas, the Corps may approve bank stabilization that exceeds the specified maximum slope steepness.
 - f. The upstream and downstream ends of the stabilization features shall be keyed into the bank to prevent removal by high flows. The toe of the bank stabilization shall also be buried in order to prevent scouring and subsequent slumping of the material.
17. **Grade Control Structures:** These activities must meet the following criteria:
 - a. Material size shall be large enough to withstand expected high flow velocities and associated turbulence.
 - b. All grade control structures shall be keyed into the existing stream bed (e.g., footers) and stream banks and protected by large rock or concrete anchored into the bed and banks in order to prevent the structures from being bypassed, scouring and undercutting during high flows. The distance that grade control structures are keyed into the bank shall be based on the size of the material used for the specific project and associated with the stream's hydrograph.
 - c. Construction or placement of fill materials for a grade control structure should start at both banks and proceed toward the middle of the stream. Materials placed on the banks shall not extend above the bankfull elevation of the stream unless the applicant demonstrates that placement above the bankfull elevation is appropriate based on the channel hydraulics.
 - d. Construction equipment shall access the stream at the fewest possible locations to minimize disturbance to the aquatic environment and riparian areas.
18. **Installation of Flow Deflectors:** These activities must meet the following criteria:
 - a. Flows shall not be directed to erode the opposite bank of the waterway.
 - b. The maximum extension of any deflector into a waterway shall not exceed 25 percent of the channel width.
 - c. Deflectors shall be spaced along the bank to prevent scouring or scalloping of the bank between the structures.

- d. Deflectors must be securely anchored into the bank in order to protect against undercutting, circumvention, or dislocation of the structures by high flows. CAUTION: Logs are especially susceptible to damages during high flows and must be adequately anchored with large rock or steel cables to prevent them from becoming dislodged. If unraveled, these materials can cause damage downstream (e.g., block bridge openings, form new channel bars, etc.).
 - e. Trees for deflector construction shall not be obtained from a riparian or wetland source.
19. **Water Quality Improvement Features:** These structures must meet the following criteria:
- a. These structures must be constructed in a manner to withstand expected high flow velocities and associated turbulence, as well as necessary scour prevention improvements to avoid structure bypass and undermining.
20. The permittee must comply with all Federal, State and local applicable regulations and ordinances.

FURTHER INFORMATION:

1. **Congressional Authorities:** Activities conducted under this RGP are authorized pursuant to:
 - (X) Section 10 of the River and Harbor Act of 1899 (33 U.S.C. 403).
 - (X) Section 404 of the Clean Water Act (33 U.S.C. 1344).
2. **Limits of authorization under RGP #**
 - a. This permit does not obviate the need to obtain other Federal, State, or local authorizations required by law.
 - b. This permit does not grant any property rights or exclusive privileges.
 - c. This permit does not authorize any injury to the property or rights of others.
 - d. This permit does not authorize interference with any existing or proposed Federal project.
3. **Limits of Federal Liability:** In issuing this permit, the Federal Government does not assume any liability for the following:
 - a. Damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes.
 - b. Damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest.
 - c. Damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit.
 - d. Design or construction deficiencies associated with the permitted work.
 - e. Damage claims associated with any future modification, suspension, or revocation of this permit.
4. **Reliance on Applicant's Data:** The determination of this office that provision of permit verification under this RGP is not contrary to the public interest is made in reliance on the information provided by the permittee.
5. **Reevaluation of Permit Decision:** This office may reevaluate its decision to issue this RGP, or on the verification that any particular activity qualifies for this RGP, at any time circumstances warrant. Circumstances that could require a reevaluation include, but are not limited to, the following:
 - a. Failure to comply with the terms and conditions of this permit.

- b. The information provided in support of the permit verification request or after-action report proves to be false, incomplete, or inaccurate. See Item 4 above.
- c. Significant new information becomes available which this office did not consider in reaching the original public interest decision.
- d. The activity is determined to result in more than minimal impacts.

Such a reevaluation may result in a determination that it is appropriate to use the suspension, modification, and revocation procedures contained in 33 CFR 325.7 or enforcement procedures such as those contained in 33 CFR 326.4 and 326.5. The referenced enforcement procedures provide for the issuance of an administrative order requiring compliance with the terms and conditions of this permit and for the initiation of legal action where appropriate. The permittee will be required to pay for any corrective measures ordered by this office. If the permittee fails to comply with such directive, this office may, in certain situations (such as those specified in 33 CFR 209.170), accomplish the corrective measures by contract or otherwise and bill the permittee for the cost.

This permit becomes effective when the Federal official, designated to act for the Secretary of the Army, has signed below.

ALLAN E. STEINLE
Chief, Regulatory Division

DATE